III-H History File Reporting

There are three CALSTARS History Files:

- ✪ Weekly Transaction History File;

- ✪ Monthly Transaction History File; and

- ✪ Fiscal Month Back-Up History File.

These are called 'permanent transaction files'. They provide a complete audit trail for all accounting transactions posted into CALSTARS. The Weekly History File contains all transactions that have posted to CALSTARS each week (Saturday through Friday). The Monthly History File contains all transactions that have posted to CALSTARS during the current calendar month from the first through the end of the previous week. After the Input-Edit-Update-Process (IEUP) is done each Friday, the Weekly History File is merged with the Monthly History File. Standard Reports are run against both the Monthly and Weekly History File.

The Fiscal Month Back-Up History File is created on the last processing day of the calendar month. This file contains all transactions that posted to the FM being closed. If the current calendar month is December, for example, the Fiscal Month Back-Up History File for FM 05 (November) would be created on December 31st. This file contains only transactions that posted to FM 05. This file is not available for Standard Reports.

The only financial fields in the individual History File records are the transaction amount and Net-Document amount. Batch header records contain the absolute batch total amount as entered and calculated by CALSTARS. For individual accounting transactions (History File records) the transaction amount is what was entered on input. Accounting transactions that liquidate Encumbrances, General Ledger Account (GLA) 6150, in the Document File contain the actual amount liquidated in the Net-Document amount field of the History record. The liquidated amount can be different from the transaction amount.

Online History Shadow File

The standard CALSTARS History File, which is available through Command **G.2**, contains records that are each 1750 bytes long. The Online History File is a condensed version that contains records that are 480 bytes. Most of the data fields related to internal processing are omitted from the Online Hisory File. For more information about the Online History File, see Volume 1, Chapter VII, starting on page VII-20.

FILE INFORMATION

The History File records contain all detail information related to each transaction as it was entered into CALSTARS. This includes information looked up for each transaction from the various tables.

A detailed description and illustration of the History File reports are on the following pages.

FILE KEY

The key to the History File records is comprised of a data type and the transaction identification.   Data Type identifies if the transaction is accounting, claim schedule, error correction, external input, etc. The transaction identification is the Organization Code and Batch Header information (Date, Type, Number and Sequence Number).

EXHIBIT III-HB4

REPORT NAME:	**Appropriation Transactions Summary Report**	REPORT NO: **CSTARHB4**

PURPOSE:	Provides a summary of History File records affecting the appropriation balances that is used to perform the monthly appropriation reconciliation of CALSTARS to the SCO balances.

DESCRIPTION:	The report sorts Appropriation and History File records in a sequence similar to the SCO Agency Reconciliation Report. The HB4 Report is used together with the DB1 Report to perform the SCO/CALSTARS monthly reconciliation. Also see the DB2 and H02 reports to perform an automated SCO/CALSTARS monthly reconciliation

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: Not Applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	Not Applicable	Not Applicable	1-Fund
1-Section			2-Fund Detail

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not Applicable

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media

FINANCIAL ELEMENTS:

Expenditures Per SCO (1140): Lists all History File records with a Debit (DR) or Credit (CR) to General Ledger Account (GLA) 1140. Includes expenditures and receipts by Document Number. Should match an entry on the SCO Agency Reconciliation Report. Normal balance is a Debit for expenditures and a Credit for remittances to SCO or cash receipts.

Expenditures/Revenue/Reimbursement: GLA=8000, 8100, 9000, 9811, 9812, 9821, 9822, 9830, 9844 and 9891. Lists all History File records that affect an appropriation for expenditure and receipt transactions. Normal balance may be a Debit or a Credit.

Accruals and Cash - Debit, Credit: GLA=1110, 1150, 1311-1314, 1320, 1330, 1400, 1500, 1730, 1740, 1750, 3010, 3020, 3021, 3110, 3120, 3210, 3220, 3290, 3400 and 6297. Entries in the first two columns are also displayed here when they affect an appropriation with the GLAN displayed after the amount. This detail listing is followed by a summary of all Debits and Credits that affect the appropriation.

EXHIBIT III-HB4 (Continued)

REPORT NAME: **Appropriation Transactions Summary Report**	REPORT NO: **CSTARHB4**

FINANCIAL ELEMENTS: (Continued)

Budget: GLA=1730, 1740, 1750, 6110, 6230, 6231 and 6270. Sum of History File records for the GLAs shown. The column also identifies which Budgetary financial field is posted:
> BUD - Budget;
> REV - Revisions;
> RES - Reserves;
> RVR - Reversions;
> ALL - Allocations From Headquarters and Allocations To Units;
> EST - Estimated Reimbursements and Estimated Revenues; and,
> ADV - Advances.
> Normal balance may be a Debit or a Credit..

The following recap totals appear at the end of each Appropriation record:

- Beginning Balance Per AP File: Displays the beginning AP File balance for the appropriation record, calculated as follows:

> Budget: Sum of AP File Budget, Revisions, Reserve, Reversion, Allocated to Units, Allocated from HQ, Est. Reimbursements, and Est. Revenue.

> Expenditures: Sum of AP File Net Transfer, Earned Reimbursements, Earned Revenue and Expenditures.

> Encumbrances: Sum of AP File Encumbrances and Allocated Encumbrances (Annual or Monthly).

> Balance: Sum of Budget, Expenditures and Encumbrances.

- Ending Balance (History + AP): Calculated as the sum of the Beginning Balance Per AP File and the sum of History activity displayed for the appropriation record.

- Ending Balance Per AP File: Displays the ending AP File balance for the report record, calculations as noted for the Beginning Balance Per AP File. This line should always equal the Ending Balance (History + AP) line above.

SPECIAL NOTES:

All History File Claim Schedule documents are classified as Document Type 50. When the Transaction Code (TC) requires a Claim Schedule Number or the TC has a posting to GLA 3020, it is classified as a Claim Schedule document.

History File records are sorted by document number and have a sub-total based on the first two digits of the Document number. The sequence for selecting the sorting document number is:
> - If TC requires a Claims Schedule Number, it is used;
> - If TC requires a Reference Document Number, it is used; otherwise
> - Current Document Number is used.

When the Appropriation Symbol Table has an Account Type of **CA**, the Program/Category field is set to **99** with a title of "Clearing Account".

EXHIBIT III-HB4 (Continued)

REPORT NAME: **Appropriation Transactions Summary Report**	REPORT NO: **CSTARHB4**

SPECIAL NOTES: (Continued)

When the Account Type is **PR** the Reference field is displayed as "REF/FFY".

When the History File, AS Table or Appropriation File Reference is **980**, the Reference is set to "000" (all zeros).

Fund 0998 records from the History and Appropriation Files are excluded.

A chart identifying the classification of Appropriation and History File Records for this report follows the report sample.

History records with a Source of **4***nnnnn*, **48***nnnn* or **9***nnnnn* are set to zeros in order to match the Appropriation File record.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
ENY	None	Yes	No
Reference	None	Yes	No
FFY	None	Yes	No
SCO Type	System assigned	Yes	No
Account Type	None	Yes	No
Program/Category/Source	None	Yes	Yes
Document Type	None	No	Yes, on 1st 2 digits
Document Number	None	No	No
Reference Document Number	None	No	No
Batch ID	None	No	No
Record Type [1]	None	No	No
General Ledger Account	None	No	No

[1] Identifies if the record comes from the Appropriation or History File.

EXHIBIT III-HB4 (Continued)

```
CSTARHB4 9990 (DEST: A1 CPT1) PM, ,0,0,0,1,    ,    ,    ,        ,          ,        ,          ********** RUN:10/31/00 TIME:16.28
FISCAL MONTH:  03  SEPTEMBER      0(ORG )***************** 1(FUND  ) FUND(ALL )********
                                          DEPARTMENT OF AIR QUALITY
                                   APPROPRIATION TRANSACTIONS SUMMARY REPORT
                                             AS OF 09/30/00
************************************************************************************************************************** PAGE   24

FUND:         0001          GENERAL FUND
ENACTMENT YR:  99
REFERENCE:    001           BUDGET ACT SUPPORT
SCO TYPE:     D             DISBURSEMENT (EXPENDITURE)
ACCOUNT TYPE: 00            SCHEDULED ITEM
PROG/CAT:     60 00 000 000 CLEAN AIR
**************************************************************************************************************************
  DOCUMENT  REFERENCE    BATCH ID      EXPENDITURES     EXPENDITURES/          ACCRUALS AND CASH                    BUDGET
   NUMBER   DOCUMENT DATE TYP NO   PER SCO ( 1140)       REV/REIMB      DEBIT                 CREDIT
----------- -------- ----------- --------------- --------------- ------------------- ------------------- ---------------------
        -               1027 CJ 993           0.00          1,810.48-       1,810.48  6297           0.00                 0.00

*TOTAL DOCUMENT TYPE                           0.00          1,810.48-       1,810.48                  0.00                 0.00

ADJ60000-00             1016 07 514       3,620.96          3,620.96            0.00                  0.00                 0.00

*TOTAL DOCUMENT TYPE AD                    3,620.96          3,620.96            0.00                  0.00                 0.00

CORR-BT9-93             1030 07 553           0.00          1,810.48            0.00           1,810.48  6297              0.00

*TOTAL DOCUMENT TYPE CO                        0.00          1,810.48            0.00           1,810.48                 0.00

JE000669-20             1025 07 538     282,471.62        282,471.62            0.00                  0.00                 0.00

*TOTAL DOCUMENT TYPE JE                  282,471.62        282,471.62            0.00                  0.00                 0.00

MOVEFM01-03             1027 SR 999       1,810.48-         1,810.48-           0.00                  0.00                 0.00

*TOTAL DOCUMENT TYPE MO                    1,810.48-         1,810.48-           0.00                  0.00                 0.00
```

EXHIBIT III-HB4 (Continued)

```
CSTARHB4 9990 (DEST: A1 CPT1) PM, ,0,0,0,1,    ,    ,    ,       ,           ,           ,         ********** RUN:10/31/00 TIME:16.28
FISCAL MONTH:  03  SEPTEMBER      0(ORG )****************** 1(FUND ) FUND(ALL )********
                                           DEPARTMENT OF AIR QUALITY
                                     APPROPRIATION TRANSACTIONS SUMMARY REPORT
                                               AS OF 09/30/00
*********************************************************************************************************************** PAGE   24


FUND:          0001          GENERAL FUND
ENACTMENT YR:  99
REFERENCE:     001           BUDGET ACT SUPPORT
SCO TYPE:      D             DISBURSEMENT (EXPENDITURE)
ACCOUNT TYPE:  00            SCHEDULED ITEM
PROG/CAT:      60 00 000 000 CLEAN AIR
***********************************************************************************************************************
DOCUMENT  REFERENCE   BATCH ID    EXPENDITURES    EXPENDITURES/          ACCRUALS AND CASH                     BUDGET
  NUMBER  DOCUMENT DATE TYP NO  PER SCO ( 1140)     REV/REIMB      DEBIT                  CREDIT
---------- -------- ----------- --------------- --------------- -------------------- -------------------- ---------------------
*TOTAL HISTORY                      284,282.10      284,282.10        0.00  1110          0.00  1110            0.00 BUD
                                                                      0.00  1150          0.00  1150            0.00 REV
                                                                      0.00  1311          0.00  1311            0.00 RES
                                                                      0.00  1330          0.00  1330            0.00 RVR
                                                                      0.00  1400          0.00  1400            0.00 ALL
                                                                      0.00  1500          0.00  1500            0.00 EST
                                                                      0.00  3010          0.00  3010            0.00 ADV
                                                                      0.00  3020          0.00  3020
                                                                      0.00  3021          0.00  3021
                                                                      0.00  3110          0.00  3110
                                                                      0.00  3120          0.00  3120
                                                                      0.00  3210          0.00  3210
                                                                      0.00  3220          0.00  3220
                                                                      0.00  3290          0.00  3290
                                                                      0.00  6297          0.00  6297
                                                                      0.00                0.00

                                 BUDGET          EXPENDITURES       ENCUMBRANCES         BALANCE
                              ------------------ ------------------ ------------------ ------------------
   BEGINNING BALANCE PER AP FILE:     774,206.07-            0.00               0.00       774,206.07-
   ENDING BALANCE (HISTORY + AP):     774,206.07-      284,282.10              0.00        489,923.97-
   ENDING BALANCE PER AP FILE:        774,206.07-      284,282.10              0.00        489,923.97-
```

EXHIBIT III-HB4 (CONTINUED)
HB4 REPORT SCO TYPE FILE CLASSIFICATION

SCO Type	HY File Record Selection Criteria [1/]	AP File Record Selection Criteria [2/]
R - Revenue	SCO Type "R - Revenue" assigned when: Fund is NOT 0888 or 0890 **AND** data is present in the AP File 12, 13, 22, 25 or 28 buckets. If record is not assigned a SCO Type based on AP File buckets then SCO Type is assigned based on a GLA evaluation: Fund is NOT 0888 or 0890 **AND** one of the following: GLA is 1313, 1314 or 1320. **OR** GLA is 1110, 1150, 1330, 1400, 1500, 3020, 3021, 3110, 3210, 3220 or 3290 **AND** Source is NOT blank, 000000, 3*nnnnn*, 58*nnnn* or 9*nnnnn*. **OR** GLA is 3400 **AND** Subsidiary is 3410*nnnn* or 3430*nnnn*.	SCO Type "R - Revenue" assigned when: Fund is NOT 0888 or 0890 **AND** data is present in the 12, 13, 22, 25 or 28 buckets **AND** Source is NOT 3*nnnnn*.
D – Disbursement (Expenditures)	SCO Type "D – Disbursement (Expenditures)" assigned when: Expenditures: Data is present in AP File 01, 02, 05, 07, 08, 09, 14, 17, 23, 26 or 32 buckets **EXCLUDING** records when data is in the AP File 01, 02, 05, 07, 08, or 09 buckets **AND** Source is NOT blank or 000000 **AND** Fund is NOT 0890 or 0888. **OR** Operating Transfers Out: Data is present in AP File 04 **AND** Source is blank or 000000. If record is not assigned a SCO Type based on AP File buckets then SCO Type is assigned based on a GLA evaluation: GLA is 1311, 3010, 3110 or 6297. **OR** GLA is 1110, 1150, 1330, 1400, 1500, 3210, 3220 or 3290 **AND** Source is blank, 000000, or 58*nnnn*. **OR** GLA is 3020 or 3021 **AND** Source is blank or 000000	SCO Type "D - Disbursement (Expenditures)" assigned when: Expenditures: Data is present in the 01, 02, 04, 05, 08, 09, 14, 15, 17, 20, 23, 26, 32 or 33 buckets **AND** Source is NOT 3*nnnnn*. **OR** Operating Transfers Out: Data is present in the 04 financial field **AND** Source is blank or 000000.

EXHIBIT III-HB4 (Continued)

SCO Type	HY File Record Selection Criteria [1]	AP File Record Selection Criteria [2]
F – Reimbursements And F – Federal Receipts	SCO Type "F - Reimbursements" (Fund is NOT 0888 or 0890) **OR** SCO Type "F - Federal Receipts" (Fund is 0888 or 0890) assigned when: Source is 9*nnnnn* **OR** Data is present in AP File 10, 11, 21, 24 or 27 buckets. **OR** Fund is 0888 or 0890 **AND** data is present in the AP File 12, 13, 22, 25 or 28 buckets. If record is not assigned a SCO Type based on AP File buckets then SCO Type is assigned based on a GLA evaluation: GLA is 1312. **OR** GLA is 1110, 1150, 1330, 1400, 1500, 3020 or 3021 **AND** Source is 9*nnnnn*. **OR** GLA is 3400 **AND** Subsidiary is 3420*nnnn*. **OR** If Fund is 0888 or 0890 **AND** one of the following: GLA is 1313, 1314 or 1320. **OR** GLA is 1110, 1150, 1330, 1400, 1500, 3020, 3021, 3110, 3210, 3220 or 3290 **AND** Source is NOT blank, 000000, 3*nnnnn*, 58*nnnn*, or 9*nnnnn*. **OR** GLA is 3400 **AND** Subsidiary is **3410*nnnn*** or **3430*nnnn***.	SCO Type "F - Reimbursements" (Fund is NOT 0888 or 0890) **OR** SCO Type "F - Federal Receipts" (Fund is 0888 or 0890) assigned when: Data is present in the 10, 11, 21, 24 or 27 buckets **AND** Source is NOT 3*nnnnn*. **OR** Fund is 0888 or 0890 **AND** data is present in the 12, 13, 22, 25 or 28 buckets **AND** Source is NOT 3*nnnnn*.

EXHIBIT III-HB4 (Continued)

SCO Type	HY File Record Selection Criteria [1]	AP File Record Selection Criteria [2]
T - Operating Transfers	SCO Type "T - Operating Transfers" (Operating Transfers In) assigned when: Data is in the AP File 01, 02, 05, 07, 08, or 09 buckets **AND** Source is NOT blank or 000000 **AND** Fund is NOT 0890 or 0888. **OR** Data is in the AP File 04 bucket **AND** Source is NOT blank or 000000. If record is not assigned a SCO Type based on AP File buckets then SCO Type is assigned based on a GLA evaluation: GLA is 1110, 1150, 1330, 1400 or 3110 **AND** Source is 3*nnnnn*.	SCO Type "T - Operating Transfers" (Operating Transfers In) assigned when: Data is in the 01, 02, 03, 05, 08, 09, 10, 11, 12, 13, 14, 15, 17, 20, 21, 22, 23, 24, 26, 27, 28, 32 or 33 buckets **AND** Source is 3*nnnnn*. **OR** Data is in 04 financial field **AND** Source is NOT blank or 000000.
D – Disbursement (Advances)	SCO Type "D – Disbursement (Advances)" assigned when: Data is in the AP File 03 bucket. If record is not assigned a SCO Type based on AP File buckets then SCO Type is assigned based on a GLA evaluation: GLA is 1730 **AND** Source is blank or 000000.	SCO Type "D – Disbursement (Advances)" assigned when: Data is present in 03 financial field **AND** Source is NOT 3*nnnnn*.

1/   The record selection criteria for History File records may qualify the record for placement under more than one SCO Type. The program analyzes each record using the stated conditions in the following order:
     AP File bucket analysis:
          Revenue
          Disbursement (Expenditures)
          Reimbursemnts/Federal Receipts
          Operating Transfers
          Disbursment (Advance)
     GLA analysis:
          Revenue
          Disbursement (Expenditures)
          Reimbursemnts/Federal Receipts
          Operating Transfers
          Disbursment (Advance)

     Once a record meets one of the the criteria, it is assigned the corresponding SCO Type and is not analyzed further.

2/   Selection criteria is mutually exclusive and therefore each record can qualify for only one SCO Type.

EXHIBIT III-HB4 (CONTINUED)
APPROPRIATION FILE FINANCIAL FIELD NAMES

The following table identifies the names of the financial fields shown on the previous table: HB4 Report SCO Type File Classification.

Field Number	Field Name
01	Budget
02	Revision
03	Reserve
04	Net-Transfer
05	Reversion
07	Appropriation Advance
08	Allocated to Units
09	Allocated from Headquarters
10	Estimated Reimbursements
11	Earned Reimbursements
12	Estimated Revenue
13	Earned Revenue
14	Expenditures
15	Encumbrances
17	Advance
20	Monthly Allocated Encumbrances
21	Accrued Reimbursements
22	Accrued Revenue
23	Unremitted Abatements
24	Unremitted Reimbursements
25	Unremitted Revenue
26	In-Transit Abatements
27	In-Transit Reimbursements
28	In-Transit Revenues
32	Accrued Payables (Abatements)
33	Annual Allocated Encumbrances

EXHIBIT III-HB4 (CONTINUED)
APPROPRIATION FILE FINANCIAL FIELD NAMES

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EXHIBIT III-HB5

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

PURPOSE:	Provides a method of analyzing unremitted General Cash using both the Appropriation and History files that cannot be done from just the H03 and H05 reports. The H03 and H05 reports are still used for remitting cash to the State Treasurer's Office.

DESCRIPTION:	This is a detail History and Appropriation File activity report that supports the Appropriation File Unremitted Cash financial fields as shown on the B04 Report. It provides Appropriation File balances for Unremitted Cash and individual History File activity for all GLA 1110-General Cash transactions. Financial information covers the fiscal period requested and future fiscal period activity.

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY -- Unlike other History reports, a CM, PM and PY version may be requested in a single processing day.

P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	0-Sort by Cur Doc	0-CM Deposits Summed	1-Fund
1-Section	1-Sort by TID [1/]	1-CM Deposits Detail	2-Fund Detail
	2-Sort by LC Deposit		

[1/] TID: Batch or Transaction Identification Number.

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media

FINANCIAL ELEMENTS:

BALANCE: This column displays Appropriation File balances for Unremitted Revenue, Reimbursements and/or Abatements. It also contains the calculated adjusted Appropriation File balance.

AMOUNT: This column displays the detail History transaction record amounts with a column sub-total.

EXHIBIT III-HB5 (Continued)

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

REPORT FORMAT

GENERAL DESCRIPTION:

This report has three different formats depending on the matching of Appropriation File records with History File records. These are:

REPORT FORMAT 1: Appropriation and History File records have <u>matching</u> Source codes.

REPORT FORMAT 2: Appropriation File record has <u>no</u> Source code; the History File record has a <u>specific</u> Source code (example: **4nnnnn**, **58nnnn** and **9nnnnn** or GLA 3110 records <u>without</u> a Source code).

REPORT FORMAT 3: Appropriation File record <u>does not match</u> any History File General Ledger Account record (example: GLA 3400).

SECTIONS COMMON TO ALL REPORT FORMATS

The report format is divided into several sections, each having a unique title to identify it. The following sections are common to all of the report formats:

SECTION A: FISCAL MONTH nn REMITTANCE AND DEPOSIT ACTIVITY

This section contains History File records posted to the fiscal period requested for GLA 1110. The **nn** in the title is the report request Fiscal Month (Example: 02 = August).

SECTION B: FISCAL MONTH nn ACTIVITY RELATED TO PRIOR MONTHS

This section contains History File records from the future fiscal period (report Fiscal Month +1) for GLA 1110. The records have a Document Date equal to or earlier than the last day of the report request Fiscal Month. This section is not present on a Current Month report. When June is requested as a Prior Month, this section includes Fiscal Month 13 and Fiscal Month 01 History records.

SECTION C: FISCAL MONTH nn REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY

This section contains the remaining History File records for GLA 1110 for the future fiscal period that were not displayed in Section B, above. The Document Date is blank or greater than the last day of the report request Fiscal Month. This section is not present on a Current Month report. When June is requested as a Prior Month, this Section includes Fiscal Month 13 and Fiscal Month 01 History records.

Following the Special Notes is a discussion of the three different report formats. The common sections are identified A, B, or C.

EXHIBIT III-HB5 (Continued)

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIAL NOTES:

Data fields without data are displayed in the heading of the report as spaces and appear blank.

The report includes information from multiple accounting periods when requested for a previous fiscal period (PM or PY request). After the agency identification and report title, the next line indicates the period of the report. The first date is the first day of the calendar month for the fiscal period for which the report is requested, followed by the report Run Date. Current Month reports do not have information for future fiscal periods.

The GLAN (General Ledger Account Number) column value is determined by the value in the Source code field in the Transaction as indicated below:

GLAN	**SOURCE CODE**:
8000	1*nnnnn*, 2*nnnnn* or 4*nnnnn*
8100	9*nnnnn*
9000	58*nnnn*
9811	3*nnnnn*
9830	51*nnnn*-55*nnnn* or 59*nnnn*
9891	57*nnnn*

GLAN is the GLA 1110 offset from the transaction when the Source is not present. If the offset GLA is 1150, the GLAN indicated in the TC Title is used. For example, GLAN is 3500 for TC 110.

The Subsidiary column contains the Subsidiary from the transaction. If the TC is recording the collection of GLAs 1400, 1500, 3400 and 3500, the GLAN is determined by the Source as indicated above and the Subsidiary is from the transaction. For example, when the agency collects for a GLAN 1400 Revenue document with Source 142500 and Subsidiary 00010000, the GLAN column is 8000 and the Subsidiary is 00010000.

The Transaction ID Column contains the Batch Date, Batch Type, Batch Number and Sequence Number of the transaction. The Batch Date Month and Day are shown, but the calendar year is not.

Prior Year reports requested during the months of July and August include all of Fiscal Month 13 activity. They also include Fiscal Month 01 activity that has a Document Date prior to June 30th. After August, Prior Year reports only display Fiscal Month 13 activity. They do not contain activity for future fiscal months.

A Prior Month report for June includes both Fiscal Month 13 and Fiscal Month 01 data in the future fiscal period. The June headings for Sections B and C are as follows (see Report Format description):

FISCAL MONTH 13 AND 01 ACTIVITY RELATED TO PRIOR MONTHS; and
FISCAL MONTH 13 AND 01 REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY.

When the Appropriation File has a zero balance in the Unremitted financial field for the fiscal period prior to the one requested, the first line on the page is not printed.

This report does not include Appropriation File records with an AS Table Account Type of **CC** (as shown on the B03 Report).

EXHIBIT III-HB5 (Continued)

REPORT NAME:	**Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIAL NOTES: (Continued)

If a 700 series TC or any other TC not impacting GLA 1110 is used to post directly to the Appropriation File Unremitted Cash financial field, it is included in the AP File Balances shown on the report. However these TCs are not shown as a detail History entry on the report. This may be a reason for differences between the Appropriation File balance and the calculated balance based on History File activity.

The Level-of-Detail Program option allows the individual History transactions to be sorted by Current Document Number (Option **0**) or Transaction ID (Option **1**) after the Document Date field.

The Level-of-Detail Object/Source option allows the individual History transactions with a debit to GLA 1110 to be summed as one entry in Section C: Fiscal Month *nn* Remainder of Remittance and Deposit Activity (Option **0**) or listed individually (Option **1**). All transactions with a credit to GLA 1110 are listed individually under either option.

If more than one GLAN and financial balance is listed under the first line of the report (Fiscal Month *nn* AP File Ending Balance on MM/DD/YY), the Appropriation Symbol Number was probably used to record more than one type of receipt. This is considered a **mixed appropriation record** that can cause problems when performing the SCO/CALSTARS Monthly reconciliation using the HB5 report.

When there are no History records for the report, it displays the subtotal lines and the Appropriation File financial data. If all lines are zero, the Appropriation File could contain records with different FFYs that net to zero. This can be verified on the B04 report.

If Sales Tax is coded with a PCA, it is included with other Abatements and could cause a difference between the actual and calculated AP balance.

When the Appropriation File has financial information in the Unremitted fields <u>and</u> there is no Source (for example, Abatements, Reimbursements, Federal Receipts), the Prior Month "AP File Ending Balance" appears only on the first page that has matching History records. The prior month "AP File Ending Balance" is not included in the subsequent subtotals by individual History Source code. However, it is included in the "Summary of Detailed HY-Source Information" that provides a summarization of the History File Source codes that match the Appropriation File record.

Fiscal Month 13 balances from the Appropriation File are not included in the final Appropriation File balance after Section C, until the Year-end Close and Open processes are completed.

If GLA 3400 and 3500 general cash is not remitted with this report, make a copy of the page or keep a separate listing of this unremitted money. When the specific nominal account is determined for GLA 3400 and 3500 money not remitted, include it with current money that is to be remitted. This will not show on this report when reclassed from GLA 3400 or 3500 to the nominal account. A notation of the nominal account and the date of actual remittance should be made on the copy of this report or separate listing of GLA 3400 and 3500 money not remitted.

EXHIBIT III-HB5 (Continued)

REPORT NAME:	**Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
ENY	None	Yes	No
FFY	None	Yes	No
Reference	None	Yes	No
Program/Category	None	Yes	No
Appropriation Symbol	None	Yes	No
AP File Source	None	Yes	Yes
History Source	None	If AP Source & HY Source are blank	If AP Source & HY Source are blank
General Ledger	None	If AS Number is blank	If AS Number is blank
Fiscal Month	None	No	No
Document Date	None	No	No
Current Doc Number	Level of Detail: P	No	No
Reference Doc Number	None	No	No
Transaction ID [1]	Level of Detail: P	No	No
Location Deposit	Level of Detail: P	No	No

[1] When the Level-of-Detail Program option is **1** (sort by Transaction ID), individual History records are sorted by Transaction ID, Current Document Number and then Reference Document Number. The placement of this information on the report is not changed.

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 1:

Appropriation File and History File have <u>matching</u> Source codes.

When there is a balance (not zero) in the Appropriation File (AP) Unremitted Revenue from the previous Fiscal Month, it appears as the first line on the report as: "**FISCAL MONTH *nn* AP FILE ENDING BALANCE ON MM/DD/YY**". It is printed in the **BALANCE** column. The *nn* is the value for the previous Fiscal Month. The "**MM/DD/YY**" is the last day of the month prior to the requested month.

GLAN is assigned based on the Appropriation File financial field:
GLAN=8000 for Unremitted Revenue;
GLAN=8100 for Unremitted Reimbursements; and
GLAN=9000 for Unremitted Abatements.

Note: Unremitted Abatements and Unremitted Reimbursements appear here when the transaction had improper coding (Appropriation Symbol Number or TC) for the accounting event. If this occurs, multiple lines and GLAN values are displayed.

SECTION A: FISCAL MONTH *nn* REMITTANCE AND DEPOSIT ACTIVITY. The transaction amount is printed in the **AMOUNT** column. It is followed by three sub-totals:

1) <u>FM *nn* AP-SOURCE *nnnnnn* - ACTIVITY SUB-TOTAL:</u> Sum of listed History records, printed in the **AMOUNT** column.

2) <u>FM *nn* AP-SOURCE *nnnnnn* - CALCULATED ENDING BALANCE:</u> Sum of Fiscal Month *nn* AP File Ending Balance on MM/DD/YY and FM *nn* AP-SOURCE *nnnnnn* - Activity Sub-Total, printed in the **BALANCE** column.

3) <u>FM *nn* AP-SOURCE *nnnnnn* - AP FILE ENDING BALANCE ON MM/DD/YY</u> : Sum of AP File Unremitted Revenue, Unremitted Reimbursements and Unremitted Abatements financial fields for the report Fiscal Month, printed in the **BALANCE** column. It should match the line above. The "MM/DD/YY" is the last day of the requested Fiscal Month.

SECTION B: FISCAL MONTH *nn* ACTIVITY RELATED TO PRIOR MONTHS. The transaction amount is printed in the **AMOUNT** column followed by two sub-totals:

1) <u>FM *nn* AP-SOURCE *nnnnnn* - PRIOR MONTHS ACTIVITY SUB-TOTAL:</u> Sum of listed History transactions, printed in the **AMOUNT** column.

2)   <u>FM *nn* AP-SOURCE *nnnnnn* - ADJUSTED CALCULATED BALANCE:</u> Sum of FM *nn* AP-Source *nnnnnn* - AP File Ending Balance on MM/DD/YY and FM *nn* AP-SOURCE *nnnnnn* - Prior Months Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the Appropriation remains to be remitted for the requested Fiscal Month and earlier fiscal periods.

REPORT NAME:	**Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 1: (Continued)

SECTION C: FISCAL MONTH *nn* REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY.
The TOTAL FM *nn* DEPOSITS line is followed by three sub-totals:

1) <u>FM *nn* AP-SOURCE *nnnnnn* - REMAINDER OF ACTIVITY SUB-TOTAL:</u> Sum of the listed History records, printed in the **AMOUNT** column.

2) <u>FM *nn* AP-SOURCE *nnnnnn* - CALCULATED ENDING BALANCE:</u> Sum of FM *nn* AP-Source - Adjusted Calculated Balance and FM *nn* AP-SOURCE *nnnnnn* - Remainder of Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the Appropriation remains to be remitted for <u>all</u> Fiscal Months.

3) <u>FM *nn* AP-SOURCE *nnnnnn* - AP FILE ENDING BALANCE ON MM/DD/YY:</u> Sum of the Appropriation File Unremitted Revenue, Unremitted Reimbursements and Unremitted Abatements financial fields for the future Fiscal Month, printed in the **BALANCE** column. The "**MM/DD/YY**" is the Report Request Date. This amount should match the amount on the line above.

EXHIBIT III-HB5 (Continued)
REPORT FORMAT 1: Appropriation File and History File have <u>matching</u> Source Codes

```
CSTARHB5 9990 (DEST: A1 CTP2) PM, ,1,2,0,1,   ,    ,   ,    ) ,    ,       ,      ,       ********** RUN:10/30/01 TIME:09.00
FISCAL MONTH:  03  SEPTEMBER      1(SEC ) 2(LCDEP) 0(SUM  ) 1(FUND ) FUND(ALL )*********
                                          DEPARTMENT OF AIR QUALITY
                                ANALYSIS OF GENERAL CASH RECEIPTS AND DISBURSEMENTS
                                       FOR 09/01/01 THROUGH 10/30/01
********************************************************************************************************************  PAGE    48
SECTION:
FUND:          0001          GENERAL FUND
EY:            01
REFERENCE:     980          REVENUE
PROG/CAT:
APPN SYMBOL: 800          REVENUE -- GENERAL FUND  (0001)
AP-SOURCE:   125600          OTHER REGULATORY FEES
**********************************************************************************************************************
HISTORY                    DOC    CURRENT     REF    TRANSACTION ID                               LOC DEPOSIT OR       BALANCE
SOURCE GLAN SUBSIDRY FM  DATE    DOCUMENT   DOCUMENT DATE TYP NO SQ   FY TC R INDX  PCA   OBJ     CHECK NUM    PROJ/WP        AMOUNT
------ ---- -------- -- ------  ----------  -------- -------------- -- ---- ---- ----- --- --- ---------- ---------- ----------------
       8000 FISCAL MONTH 02 AP FILE ENDING BALANCE ON 08/31/01                                                147,956.31

            FISCAL MONTH 03 REMITTANCE AND DEPOSIT ACTIVITY                   A

125600 8000          03 090301 CR00077500           090306349000210 01 171                      379-061497 209030-00        5,520.00-
                     03 091501 RC00012000  I0004770 091502199000250 01 142  1500 82010      123 0379001693 209030-01          500.50
                     03 091501 RC00012001  00012280 091502199000260 01 101  1300 88500      123 0379001694 451200-00          123.00
                     03 091501 RC00012001  00065740 091502199000270 01 142  1500 82010      123 0379001694 209030-00       23,736.47
                     03 091501 RC00012001  I0070091 091502199000290 01 142  1500 82010      123 0379001694 209030-00        4,779.00
                     03 091501 RC00012002  I0050440 091502199000300 01 142  1500 82010      123 0379001695 230500-00          350.00
                     03 091801 RC00012005  I0000110 091802300000010 01 142  1100 85000      123 0379001695 130700-00          500.00
                                                                                                                         -------------
*FM 03 AP-SOURCE 125600 - ACTIVITY SUB-TOTAL                                                                               24,468.97

*FM 03 AP-SOURCE 125600 – CALCULATED ENDING BALANCE                                                            172,425.28
*FM 03 AP-SOURCE 125600 – AP FILE ENDING BALANCE ON 9/30/01                                                    172,425.28

            FISCAL MONTH 04 ACTIVITY RELATED TO PRIOR MONTHS                   B

                     04 091501 CR00077900           100306349000210 01 171                      379-061500               14,930.03-
                     04 092101 RC00013000           101502199000250 01 101  1710 80020      123 0379001700 209030-01       15,930.03
            NO HISTORY ACTIVITY                                                                                           -------------
*FM 04 AP-SOURCE 125600 - PRIOR MONTHS ACTIVITY SUB-TOTAL                                                                   1,000.00
*FM 03 AP-SOURCE 125600 - ADJUSTED CALCULATED BALANCE                                                          173,425.28

            FISCAL MONTH 04 REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY            C

               04 TOTAL FM 04 DEPOSITS                                                                                          0.00
                                                                                                                         -------------
*FM 04 AP-SOURCE 125600 – REMAINDER OF ACTIVITY SUB-TOTAL                                                                       0.00

*FM 04 AP-SOURCE 125600 – CALCULATED ENDING BALANCE                                                            173,425.28
*FM 04 AP-SOURCE 125600 – AP FILE ENDING BALANCE ON 10/30/01                                                   173,425.28

*FM 04 AP-SOURCE 125600 - CALCULATED ENDING BALANCE                                                             10,683.50
*FM 04 AP-SOURCE 125600 - AP FILE ENDING BALANCE ON 10/16/01                                                    10,683.50
```

EXHIBIT III-HB5 (Continued)
REPORT FORMAT 2: Appropriation File record has <u>no</u> Source Code;
the History File record has a <u>specific Source Code</u>

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 2:

Appropriation File record has <u>no</u> Source Code; the History File record has a <u>specific Source Code</u>.

When there is a balance (not zero) in the Appropriation File (AP) Unremitted Revenue, Unremitted Reimbursements or Unremitted Abatements from the previous Fiscal Month, it is printed as the first line on the report. The line title is "**FISCAL MONTH *nn* AP FILE ENDING BALANCE ON MM/DD/YY**". It is printed in the **BALANCE** column. The *nn* is the value for the previous Fiscal Month. The "**MM/DD/YY**" is the last day of the month prior to the requested Fiscal Month.

GLAN is assigned based on the Appropriation File financial field:
GLAN=8000 for Unremitted Revenue;
GLAN=8100 for Unremitted Reimbursements; and
GLAN=9000 for Unremitted Abatements.

SECTION A: FISCAL MONTH *nn* REMITTANCE AND DEPOSIT ACTIVITY. The transaction amount is printed in the **AMOUNT** column. It is followed by one of the following sub-total lines:

FM *nn* HY-SOURCE *nnnnnn* - ACTIVITY SUB-TOTAL: Sum of listed History records with a Source Code, printed in the **AMOUNT** column;

OR

FM *nn* GLAN *nnnn* - ACTIVITY SUB-TOTAL: Sum of listed History records with a General Ledger Account Number, printed in the **AMOUNT** column.

NOTE: This heading is used when Source is not in the transaction, usually special purpose TCs for selected agencies.

SECTION B: FISCAL MONTH *nn* ACTIVITY RELATED TO PRIOR MONTHS. The transaction amount is printed in the **AMOUNT** column. It is followed by one of the following sets of sub-totals:

1) FM *nn* HY-SOURCE *nnnnnn* - PRIOR MONTHS ACTIVITY SUB-TOTAL: Sum of listed History records with Source, printed in the **AMOUNT** column.

2) FM *nn* HY-SOURCE *nnnnnn* - ADJUSTED CALCULATED ACTIVITY SUB-TOTAL: Sum of FM XX HY-Source *nnnnnn* - Activity Sub-Total and FM *nn* HY-Source *nnnnnn* - Prior Months Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the History Source remains to be remitted for the requested Fiscal Month and earlier fiscal periods.

OR

1) FM *nn* GLAN *nnnn* - PRIOR MONTHS ACTIVITY SUB-TOTAL: Sum of listed History records with a General Ledger Account Number, printed in the **AMOUNT** column.

2) FM *nn* GLAN *nnnn* - ADJUSTED CALCULATED ACTIVITY SUB-TOTAL: Sum of FM *nn* GLAN *nnnn* - Activity Sub-Total and FM *nn* GLAN *nnnn* - Prior Months Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the History GLA remains to be remitted for the requested Fiscal Month.

EXHIBIT III-HB5 (Continued)
REPORT FORMAT 2: Appropriation File record has <u>no</u> Source Code;
the History File record has a <u>specific Source Code</u>

REPORT NAME:	**Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 2: (Continued)

SECTION C: FISCAL MONTH *nn* REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY. The TOTAL FM *nn* DEPOSITS line is followed by one of two sets of sub-totals:

1) <u>FM *nn* HY-SOURCE *nnnnnn* - REMAINDER OF ACTIVITY SUB-TOTAL:</u> Sum of the listed History records with a Source, printed in the **AMOUNT** column.

2) <u>FM *nn* HY-SOURCE *nnnnnn* - CALCULATED ENDING ACTIVITY:</u> Sum of FM *nn* HY-Source *nnnnnn* - Adjusted Calculated Activity Sub-Total and FM *nn* HY-Source - Remainder of Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the History Source remains to be remitted for all Fiscal Months as of the report request date.

<div align="center">**OR**</div>

1) <u>FM *nn* GLAN *nnnn* - REMAINDER OF ACTIVITY SUB-TOTAL:</u> Sum of the listed History records with General Ledger Account Number, printed in the **AMOUNT** column.

2) <u>GLAN *nnnn* - COMBINED ACTIVITY TOTAL ON MM/DD/YY:</u> Sum of FM *nn* GLAN *nnnn* - Adjusted Calculated Activity Sub-Total and FM *nn* GLAN *nnnn* - Remainder of Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the History General Ledger Account remains to be remitted for the requested and future fiscal months. The "MM/DD/YY" is the last day of the requested Fiscal Month.

Section D: SUMMARY OF DETAIL HY-SOURCE INFORMATION. Provides a summarization of the History File activity listed by History Source Code and General Ledger Account Number without Source on the preceding page(s). This section contains the following financial information:

1) <u>FM *nn* NO AP-SOURCE - AP FILE ENDING BALANCE ON MM/DD/YY:</u> Sum of the Appropriation File financial fields for Unremitted Revenue, Unremitted Reimbursements and Unremitted Abatements for the previous fiscal period, printed in the **BALANCE** column. The *nn* is the Fiscal Month prior to the report request Fiscal Month. The **"MM/DD/YY"** is the last day of the month prior to the requested Fiscal Month.

2) <u>FM *nn* NO AP-SOURCE - ACTIVITY SUB-TOTAL:</u> Sum of the FM *nn* HY-SOURCE *nnnnnn* Activity Sub-Total line for each History Source code and FM *nn* GLAN *nnnn* - Activity Sub-Total for each General Ledger Account from Section A . It is printed in the **AMOUNT** column.

3) <u>FM *nn* NO AP-SOURCE - CALCULATED ENDING BALANCE:</u> Sum of FM *nn* NO AP-SOURCE - AP File Ending Balance on MM/DD/YY and FM *nn* NO AP-SOURCE - Activity Sub-Total lines from this page, printed in the **BALANCE** column.

4) <u>FM *nn* NO AP-SOURCE AP FILE ENDING BALANCE ON MM/DD/YY:</u> Sum of the Appropriation File financial fields for Unremitted Revenue, Unremitted Reimbursements and Unremitted Abatements for the requested Fiscal Month, printed in the **BALANCE** column. It should match the line above. The **"MM/DD/YY"** is the last day of the requested Fiscal Month.

EXHIBIT III-HB5 (Continued)
REPORT FORMAT 2: Appropriation File record has <u>no</u> Source Code;
the History File record has a <u>specific Source Code</u>

REPORT NAME:	**Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 2: (Continued)

5) <u>FM *nn* NO AP-SOURCE - PRIOR MONTHS ACTIVITY SUB-TOTAL:</u> Sum of FM *nn* HY-SOURCE *nnnnnn* - Prior Months Activity Sub-Total line(s) for each History Source Code and FM *nn* GLAN *nnnn* - Prior Months Activity Sub-Total line(s) for each History General Ledger Account from Section B . It is printed in the **AMOUNT** column.

6) <u>FM *nn* NO AP-SOURCE - ADJUSTED CALCULATED BALANCE:</u> Sum of the second line for FM *nn* NO AP-SOURCE - AP File Ending Balance on MM/DD/YY and FM *nn* NO AP-SOURCE - Prior Months Activity Sub-total lines from this page. It is printed in the **BALANCE** column. It identifies how much General Cash for the Appropriation remains to be remitted for the requested Fiscal Month and earlier fiscal periods.

7) <u>FM *nn* NO AP-SOURCE - REMAINDER OF REMITTANCE & DEPOSIT ACTIVITY SUB-TOTAL:</u> Sum of FM *nn* HY-SOURCE *nnnnnn* - Remainder of Activity Sub-Total line(s) for each History Source code and FM *nn* GLAN *nnnn* - Remainder of Activity Sub-Total line(s) for each History General Ledger Account from Section C . It is printed in the **AMOUNT** column.

8) <u>FM *nn* NO AP-SOURCE - CALCULATED ENDING BALANCE:</u> Sum of FM *nn* NO AP-SOURCE - Adjusted Calculated Balance and FM *nn* NO AP-SOURCE - Remainder of Remittance & Deposit Activity lines from this page. It is printed in the **BALANCE** column. It identifies how much General Cash for the Appropriation remains to be remitted for all Fiscal Months as of the Report Request Date.

9) <u>FM *nn* NO AP-SOURCE - AP FILE ENDING BALANCE ON MM/DD/YY:</u> Sum of the Appropriation File balance for Unremitted Revenue, Unremitted Reimbursements and Unremitted Abatements for the future Fiscal Month, printed in the **BALANCE** column. It should match the line above. The "MM/DD/YY" is the last day of the requested Fiscal Month.

EXHIBIT III-HB5 (Continued)
REPORT FORMAT 2: Appropriation File record has <u>no</u> Source Code;
the History File record has a <u>specific Source Code</u>

```
 CSTARHB5 9990 (DEST: A1 CTP2) CM, ,1,2,0,1,    ,   ,   ,     ,        ,         ,        ,     ********** RUN:10/30/01 TIME:09.00
 FISCAL MONTH:  03  SEPTEMBER      1(SEC  ) 2(LCDEP) 0(SUM  ) 1(FUND  ) FUND(ALL )*********
                                                    DEPARTMENT OF AIR QUALITY
                                        ANALYSIS OF GENERAL CASH RECEIPTS AND DISBURSEMENTS
                                              FOR 09/01/01 THROUGH 10/30/01
 ******************************************************************************************************************* PAGE    6
 SECTION:
 FUND:          0001             GENERAL FUND
 EY:            00
 REFERENCE:     001              SUPPORT
 PROG/CAT:
 APPN SYMBOL: 900               CLEARING ACCOUNT
 AP-SOURCE:
 ******************************************************************************************************************************
 HISTORY                 DOC      CURRENT    REF     TRANSACTION ID                          LOC DEPOSIT OR      BALANCE
 SOURCE GLAN SUBSIDRY FM  DATE     DOCUMENT   DOCUMENT DATE TYP NO SQ   FY TC R INDX  PCA  OBJ    CHECK NUM    PROJ/WP          AMOUNT
 ------ ---- -------- -- ------   --------   -------- ------------- -- ---- ---- --- --- ---------- ---------- ----------------
          9000 FISCAL MONTH 02 AP FILE ENDING BALANCE ON 08/31/01                                            6,848.44

               FISCAL MONTH 03 REMITTANCE AND DEPOSIT ACTIVITY        A

 580100 9000           03 090301             AR008913 090302198000070 00 140  1520 52020 003 013 0379100001                      70.00
                       03 091101 RC00015900           091102225000040 00 104  1707 30880 208 002 0379100005                      89.00
                       03 091201 CR00045600           091206123000010 00 171              379-448912                            170.56-
                                                                                                                          ------------
 *FM 03 HY-SOURCE 580100 - ACTIVITY SUB-TOTAL                                                                                  11.56-

               FISCAL MONTH 04 ACTIVITY RELATED TO PRIOR MONTHS       B

         NO HISTORY ACTIVITY                                                                                               ------------
 *FM 04 HY-SOURCE 580100 - PRIOR MONTHS ACTIVITY SUB-TOTAL                                                                      0.00
 *FM 03 HY-SOURCE 580100 - ADJUSTED CALCULATED ACTIVITY SUB-TOTAL                                            11.56-

               FISCAL MONTH 04 REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY       C

                    04 TOTAL FM 04 DEPOSITS                                                                                     0.00
                                                                                                                          ------------
 *FM 04 HY-SOURCE 580100 - REMAINDER OF ACTIVITY SUB-TOTAL                                                                      0.00
 *FM 04 HY-SOURCE 580100 – CALCULATED ENDING ACTIVITY                                                        11.56-

               SUMMARY OF DETAIL HY-SOURCE INFORMATION                            D

 *FM 02 NO AP-SOURCE  - AP FILE ENDING BALANCE ON 08/31/01                                                   6,848.44
 *FM 03 NO AP-SOURCE  - ACTIVITY SUB-TOTAL                                                                                 12,590.76-

 *FM 03 NO AP-SOURCE  - CALCULATED ENDING BALANCE                                                            5,742.32-
 *FM 03 NO AP-SOURCE  - AP FILE ENDING BALANCE ON 09/30/01                                                   5,742.32-

 *FM 04 NO AP-SOURCE  - PRIOR MONTHS ACTIVITY SUB-TOTAL                                                                         0.00
 *FM 03 NO AP-SOURCE  - ADJUSTED CALCULATED BALANCE                                                          5,742.32-

 *FM 04 NO AP-SOURCE  - REMAINDER OF REMITTANCE & DEPOSIT ACTIVITY SUB-TOTAL                                                    0.00

 *FM 04 NO AP-SOURCE  - CALCULATED ENDING BALANCE                                                            5,742.32-
 *FM 04 NO AP-SOURCE  - AP FILE ENDING BALANCE ON 10/30/01                                                   5,052.73-
```

REPORT NAME: **Analysis of General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARHB5**

SPECIFICATIONS FOR REPORT FORMAT 3:

Appropriation File record <u>does not match</u> any History File General Ledger Account record.

SECTION A: FISCAL MONTH *nn* REMITTANCE AND DEPOSIT ACTIVITY. The transaction amount is printed in the **AMOUNT** column. It is followed by a sub-total:

FM *nn* GLAN *nnnn* - ACTIVITY SUB-TOTAL: Sum of listed History records for the General Ledger Account, printed in the **AMOUNT** column.

SECTION B: FISCAL MONTH *nn* ACTIVITY RELATED TO PRIOR MONTHS. The transaction amount is printed in the **AMOUNT** column followed by two sub-totals:

1) FM *nn* GLAN *nnnn* - PRIOR MONTHS ACTIVITY SUB-TOTAL: Sum of listed History records for the GLA, printed in the **AMOUNT** column.

2) FM *nn* GLAN *nnnn* - ADJUSTED CALCULATED ACTIVITY SUB-TOTAL: Sum of FM *nn* GLAN *nnnn* - Activity Sub-Total and FM *nn* GLAN *nnnn* - Prior Months Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the General Ledger Account remains to be remitted, as appropriate, for the requested Fiscal Month. It does not include information from earlier fiscal periods.

SECTION C: FISCAL MONTH *nn* REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY. The TOTAL FM *nn* DEPOSITS line is followed by two sub-totals:

1) FM *nn* GLAN *nnnn* - REMAINDER OF ACTIVITY SUB-TOTAL: Sum of listed History records for the GLA, printed in the **AMOUNT** column.

2) GLAN *nnnn* - COMBINED ACTIVITY TOTAL ON MM/DD/YY: Sum of FM *nn* GLAN *nnnn* - Adjusted Calculated Activity Sub-Total and FM *nn* GLAN *nnnn* - Remainder of Activity Sub-Total, printed in the **BALANCE** column. It identifies how much General Cash for the GLA remains to be remitted for the requested period and future fiscal periods. The "MM/DD/YY" is the last day of the requested Fiscal Month.

```
 CSTARHB5 9990 (DEST: A1 CTP2) PM, ,0,2,0,1,   ,   ,   ,       ,        ,      ,         ********** RUN:10/30/01 TIME:09.00
 FISCAL MONTH:  03  SEPTEMBER        0(ORG  ) 2(LCDEP) 0(SUM  ) 1(FUND  ) FUND(ALL )*********
                                                 DEPARTMENT OF AIR QUALITY
                                     ANALYSIS OF GENERAL CASH RECEIPTS AND DISBURSEMENTS
                                            FOR 09/01/01 THROUGH 10/30/01
 ***************************************************************************************************************** PAGE   35
 FUND:       0001        GENERAL FUND
 EY:         01
 REFERENCE:
 PROG/CAT:
 APPN SYMBOL:
 AP-SOURCE:
 ***************************************************************************************************************************
 HISTRY                  DOC    CURRENT    REF     TRANSACTION ID                       LOC DEPOSIT OR        BALANCE
 SOURCE GLAN SUBSIDRY FM DATE   DOCUMENT  DOCUMENT DATE TYP NO SQ  FY TC R INDX  PCA  OBJ  CHECK NUM  PROJ/WP      AMOUNT
 ------ ---- -------- -- ------ --------- -------- -------------- -- ---- ---- ----- --- --- ---------- ---------- ----------------
            FISCAL MONTH 03 REMITTANCE AND DEPOSIT ACTIVITY       A

       3400 34100000 03 090401 RC00014873          091602199000040 01 109      92500  045 0379014427                 1,450.00
            34100000 03 090401 RC00014873          091602199000050 01 109      92500  045 0379014427                 2,600.00
            34100000 03 090401 RC00014875          091802200000160 01 109      92500      0379014522                 2,550.00
            34100000 03 090401 3794481110          092606203000020 01 297      92500      379-448111                 1,000.00-
                                                                                                                  -------------
 *FM 03 GLAN 3400 - ACTIVITY SUB-TOTAL                                                                                5,600.00


            FISCAL MONTH 04 ACTIVITY RELATED TO PRIOR MONTHS       B

       NO HISTORY ACTIVITY                                                                                         -------------
 *FM 04 GLAN 3400 - PRIOR MONTHS ACTIVITY SUB-TOTAL                                                                       0.00
 *FM 03 GLAN 3400 - ADJUSTED CALCULATED ACTIVITY SUB-TOTAL                                                 5,600.00


            FISCAL MONTH 04 REMAINDER OF REMITTANCE AND DEPOSIT ACTIVITY       C

                 04 TOTAL FM 04 DEPOSITS                                                                                  0.00
                                                                                                                  -------------
 *FM 04 GLAN 3400 - REMAINDER OF ACTIVITY SUB-TOTAL                                                                       0.00
 *GLAN 3400 - COMBINED ACTIVITY TOTAL ON 10/30/01                                                          5,600.00
```

EXHIBIT III-HD1

REPORT NAME:	**ORF Advance Transaction Analysis**	REPORT NO: **CSTARHD1**

PURPOSE:	Provides detail information for use in the analysis of monthly ORF advance activity in Fund 0998. The primary use is to research specific activity for a specific Vendor Name.

DESCRIPTION:	The report matches History File records with Document File records. The report provides information on General Ledger Accounts 1190, 1710, 1712 and 1714.

REPORT REQUEST OPTIONS:

   Report Period:

      FM: CM, PM or PY
       P: Not applicable

   Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	1-Fund
			2-Fund Detail

   Fund Selection: Not applicable

   GLA Selection: Blank (all valid GLAs), 1190, 1710, 1712 or 1714

   Additional Report Selection Options Popup Screen: Not applicable

   Destination Options: All available output media

FINANCIAL ELEMENTS:

   Net Document Amount: For the Document File record this is the amount of the transaction that posted as the ORF advance (sum of original and adjustment financial fields). For the History File record this is the transaction amount when posting to the Document File as a Debit (if TC is Reverse, shown as a Credit). Normal balance is a Debit.

   Liquidations: For the Document File record this is the amount of the ORF advance that posted as liquidations. For the History File record this is the transaction amount when posting to the Document File as a Credit (if TC is Reverse, shown as a Debit). Normal balance is a Credit.

   Document Balance: For the Document File record this is the outstanding document balance, calculated by subtracting Liquidations from the Net Document Amount. The History File record does not display a value in this column. Normal balance is a Debit.

   The totals for Document Number, Vendor and GLA are based on the Document File information.

SPECIAL NOTES:

   History File information on second line of report is all activity for indicated Document Number occurring during the period requested.

   The HD1 Report contains ONLY Fund 0998 Document and History File records.

EXHIBIT III-HD1 (Continued)

REPORT NAME: **ORF Advance Transaction Analysis**	REPORT NO: **CSTARHD1**

SPECIAL NOTES: (Continued)

When Fund Detail is requested, it is on the report when it is in the Document or History record. It can be directly coded or looked up by the PCA in the History record. Agencies do not need to do Appropriation Fund Detail accounting in order to have History and Document File records sorted by Fund Detail on this report.

Check Number field displays the Claim Schedule Number when present in the History transaction or the Check Number.

A "No Document File Record Found" message is issued when the document is established and reversed in the same fiscal month, because it is no longer on the Document File. If the document is established and liquidated in the same fiscal month, it is on the report for that fiscal month.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	Yes
General Ledger Acct	GLA Selection	Yes	Yes
Document File Data:			
Vendor Name	None	No	Yes
Vendor Number	None	No	No
FFY	None	No	No
Document Number	None	No	Yes
History File Data:			
Transaction ID	None	No	No

EXHIBIT III-HD1 (Continued)

```
CSTARHD1 9990 (DEST: L1 CPT2) PM, ,0,0,0,2,    ,    ,    ,        ,        ,        ,        ,        ********** RUN:10/31/00 TIME:20.10
FISCAL MONTH:  04  OCTOBER      **************************** 2(BYFDT )*********** GL(ALL )
                                          DEPARTMENT OF AIR QUALITY
                                      ORF ADVANCE TRANSACTION ANALYSIS REPORT
                                                AS OF 10/31/00
********************************************************************************************************************** PAGE 1259
FUND:      0998    OFFICE REVOLVING FUND
FUND DTL:  01      CENTRAL OFFICE
GLAN:      1712    TRAVEL ADVANCES
**********************************************************************************************************************
                                                                LAST
DF    VENDOR NAME              VENDOR NO    FFY DOCUMENT NO DOC DATE PROC DATE     NET
--------------------------- ------------- --- ----------- -------- ---------   DOCUMENT                              DOCUMENT
     HY      TRANSACTION ID TC   R  CURR DOC NO CHECK NO   INDEX    PROCDATE    AMOUNT           LIQUIDATIONS        BALANCE
     -------------------- --- - ----------- --------- ----- --------  ------------------ ------------------ ------------------
B J THOMAS                   0522113095-00 00  07572518-70 09-26-00 10-02-00          9.92              9.92-              0.00
***DOC NO TOTAL***                                                                    9.92              9.92-              0.00

B J THOMAS                   0522113095-00 00  07572745-60 10-24-00 10-25-00         80.41             80.41-              0.00
     00-10-24-08-842 00006 423      07572745-60 075727456  4713     10-24-00         80.41              0.00
***DOC NO TOTAL***                                                                   80.41             80.41-              0.00
***VENDOR TOTAL***                                                                   90.33             90.33-              0.00

B J THOMAS                   0522113095-00 00  07571926-90 07-10-00 08-03-00          9.00              9.00-              0.00
***DOC NO TOTAL***                                                                    9.00              9.00-              0.00
***VENDOR TOTAL***                                                                    9.00              9.00-              0.00

I M YAMAICHI                 0568894868-00 00  07572010-50 07-18-00 08-03-00        123.85            123.85-              0.00
***DOC NO TOTAL***                                                                  123.85            123.85-              0.00

I M YAMAICHI                 0568894868-00 00  07572022-30 07-19-00 08-03-00         70.19             70.19-              0.00
***DOC NO TOTAL***                                                                   70.19             70.19-              0.00

I M YAMAICHI                 0568894868-00 00  07572117-00 08-02-00 08-14-00        281.07            281.07-              0.00
***DOC NO TOTAL***                                                                  281.07            281.07-              0.00

I M YAMAICHI                 0568894868-00 00  07572320-20 08-28-00 08-30-00        426.75            426.75-              0.00
***DOC NO TOTAL***                                                                  426.75            426.75-              0.00

I M YAMAICHI                 0568894868-00 00  07572590-50 10-05-00 10-17-00        359.69            359.69-              0.00
     00-10-05-08-845 00010 423      07572590-50 075725905  4855     10-05-00        359.69              0.00
***DOC NO TOTAL***                                                                  359.69            359.69-              0.00

I M YAMAICHI                 0568894868-00 00  07572804-30 10-31-00 11-09-00        212.32              0.00             212.32
     00-10-31-08-845 00009 423      07572804-30 075728043  4855     10-31-00        212.32              0.00
***DOC NO TOTAL***                                                                  212.32              0.00             212.32
```

EXHIBIT III-HD1 (Continued)

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EXHIBIT III-HG1

REPORT NAME: **General Ledger Analysis Report**	REPORT NO: **CSTARHG1**

PURPOSE:	Provides an analysis of the monthly activity by General Ledger Account and Fund.

DESCRIPTION:	The report matches History File records with General Ledger File records. The primary use of this report is for researching reconciliation problems.

REPORT REQUEST OPTIONS:

Report Period:

    FM: CM, PM or PY
    P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	0-Sort by Trans ID	Not applicable	1-Fund
1-Section	1-Sort by Doc No.		2-Fund Detail
			3-Project

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Blank (all GLAs) or any valid GLA

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media <u>except</u> **L** (Laser printer)

FINANCIAL ELEMENTS:

Beginning Balance per G/L File: This is the first line of data on each report before transactions. Debits or Credits are the Prior Period Ending Balance from the General Ledger File. Balances are shown without a sign unless it is an abnormal balance.

Debits: This column represents the amount that posts as a Debit to a General Ledger Account from the History File. Signs are not used unless the transaction is a Reversal. Then it is displayed with a negative sign.

Credit: This column represents the amount that posts as a Credit to a General Ledger Account from the History File. Signs are not used unless the transaction is a Reversal. Then it is displayed with a negative sign.

Total Transactions GLA *nnnn*: This is the total of the Debits and Credits, respectively, for the General Ledger Account. It includes the Debits and Credits from the History File records.

The following totals appear after the Total Transactions GLA *nnnn*:

Ending Balance - G/L File Beginning Balance + Total Transactions: Calculated as the sum of Debit and Credit History File records that post to the specific GLA, plus the Beginning Balance per G/L File.

Ending Balance - G/L File Ending Balance: Calculated as the sum of Debits and Credits for the specific GLA from the General Ledger File. This amount should always equal the preceding line (Ending Balance - G/L File Beginning Balance + Total Transactions). If not, it is an indication that the History and General Ledger Files are out of synchronization.

EXHIBIT III-HG1 (Continued)

REPORT NAME: **General Ledger Analysis Report**	REPORT NO: **CSTARHG1**

SPECIAL NOTES:

Report displays 600 and 700 series TCs as a non-add entry. There is a footnote at the bottom of the page when these TCs are included in the report.

Fund Level of Detail **3** will display Project information for GL File when present and History File ONLY when PC Table General Ledger Indicator is **1** or **B**.

When the General Ledger is in the 4th pair of the TC (Encumbrances), the Document File information is used instead of the History record input data.

The report excludes the Budgetary offset General Ledger Accounts.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	Level of Detail: I	Yes	No
Fund	Level of Detail: F	Yes	No
Project Number	Level of Detail: F	Yes	No
GLA	GLA Selection	Yes	Yes
Record Type [1]	None	No	No
Transaction ID	Level of Detail: P	No	No

[1] Record type determines what the Document Number will be when the report is sorted by Document Number. The hierarchy for selecting what Document Number to use is: Claim Schedule or Check Number, Current Document Number, then Reference Document Number.

EXHIBIT III-HG1 (Continued)

```
CSTARHG1 9990 (DEST: A1 CTP2) CM, ,0,0,0,1,   ,   ,   ,       ,       ,       ,       ********** RUN:10/26/01 TIME:09.00
FISCAL MONTH:  04  OCTOBER          0(ORG  ) 0(BYTID)********** 1(FUND  ) FUND(ALL ) GL(ALL )
                                              DEPARTMENT OF AIR QUALITY
                                            GENERAL LEDGER ACCOUNT ANALYSIS
                                                  AS OF 10/26/01
******************************************************************************************************************** PAGE  12
FUND:      0001           GENERAL FUND
GLA:       1313           ACCOUNTS RECEIVABLE REVENUE
********************************************************************************************************************
----TRANSACTION ID----    POST
 DATE   T BNO BSEQ  D   DATE    FFY WP
------------------------------------
   APPN                  OBJECT/                    CHECK/BSEQ/  CURRENT      REFERENCE
   SYMB  MD  INDX   PCA  SOURCE      SUBSIDRY LOC DEP/CL SCH DOCUMENT NO DOCUMENT NO  TC  R M     DEBITS         CREDITS
----------------------------------------------------------------------------------------------------------------------
    BEGINNING BALANCE PER G/L FILE                                                            6,005,307.50


01-10-09 01 003 00001 0 10/09/01  01   00
    810  1   1500  82010  125600-                       INV41000-00              120     1,000,000.00          0.00
01-10-09 01 003 00002 0 10/09/01  01   00
    810  1   1517  80010  141200-                       INV41001-00              120     1,000,000.00          0.00
01-10-10 07 101 00012 0 10/10/01  01   01
    810  1   1510  82010  161400-                       INV41002-00              120        10,000.00          0.00
01-10-16 02 199 00025 0 10/16/01  01   01
    810  1   1500  82010  125600-              012 0379001693  RC002278-00 INV39500-00 142          0.00        501.50
01-10-16 02 400 00006 0 10/16/01  01   00
    810  1   1517  80010  141200-              ZBA 2379011016  RC002279-01 INV41001-00 142          0.00        850.00
01-10-16 02 400 00007 0 10/16/01  01   01
    810  1   1515  82010  125600-              ZBA 2379011016  RC002279-02 INV38456-00 142          0.00        850.00
01-10-16 02 400 00009 0 10/16/01  01   00
    810  1   1340  83040  125600-              ZBA 2379011016  RC002279-05 INV31000-00 142          0.00        850.00
01-10-16 02 400 00010 0 10/16/01  01   00
    810  1   1700  87010  125600-                  0379001695  RC002280-00 INV39500-00 142          0.00        850.00
01-10-16 02 510 00033 0 10/16/01  01   00
    810  1   5100  82010  141200-                  0379001696  RC002279-12 INV39660-00 142          0.00        766.00
01-10-16 02 510 00044 0 10/16/01  01   00
    810  1   1500  82010  141200-                  0379001697  RC002279-15 INV00778-00 142          0.00         25.00

TOTAL TRANSACTIONS GLA 1313                                                                   2,010,000.00      4,692.50


                         ENDING BALANCE - G/L FILE BEG.BAL. + TOTAL TRANSACTIONS            8,010,615.00
                         ENDING BALANCE - G/L FILE ENDING BALANCE                           8,010,615.00
```

EXHIBIT III-HG1 (Continued)

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EXHIBIT III-HP1

REPORT NAME:	**Project Transaction Analysis Report**	REPORT NO: **CSTARHP1**
PURPOSE:	Used to research activity shown on the Grant Project File reports for the period requested.	
DESCRIPTION:	Displays History File activity for Grant Project File postings to Expenditures, Encumbrances, Obligations, Advances, Revenue and Units by Project Number/Work Phase that occurred during the report period. This report contains ONLY History records that have a Project Number and post to one of the mentioned Grant Project financial fields.	

REPORT REQUEST OPTIONS:

   Report Period:

      FM: CM, PM or PY
      P: Not applicable

   Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	0-No Fund
			1-Fund & FS

   Fund Selection: Blank (all Funds) or any valid Fund

   GLA Selection: Applies to the Obligation+Encumbrance/Accounts Receivable Balance column only.
            Blank   = Includes Allocated Encumbrances
            6150   = Excludes Allocated Encumbrances

   Additional Report Selection Options Popup Screen:

      Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

      PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

      OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

      FFY Selection: Not applicable.

      PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.

   Destination Options: All available output media <u>except</u> **L** (Laser printer)

EXHIBIT III- HP1 (Continued)

REPORT NAME: **Project Transaction Analysis Report**	REPORT NO: **CSTARHP1**

FINANCIAL ELEMENTS:

   EXPENDITURES:

   Outstanding Prepayments/Advance Collection: GLA=1730, 1740 or 1750. Displays the amount that posted to Advances in the GP File for each applicable History File record. Normal balance is a Debit.

   Expenditures/Revenue Current Month: GLA=9000, 9812, 9822 or 9844. Displays the transaction amount posting to Cash Expenditures in the GP File. Normal balance is a Debit.

   Obligation+Encumbrance/Accounts Receivable Balance: GLA=6150, 6160 or 6170. Displays the transaction amount posting to Encumbrances, Obligations or Allocated Encumbrances in the GP File. However, currently there are no transactions that post Obligations to the GP File, so they are not included in this column. Normal balance is a Debit.

   NOTE: The amount for GLAs in the 4th pair of the TC (Encumbrance liquidations) is the amount available to liquidate (per the Document File balance) up to the amount of the History File transaction. If an **F** Modifier is used, the amount is the remaining balance per the Document File record.

   REVENUE:

   Outstanding Prepayments/Advance Collection: Always zero.

   Expenditures/Revenue Current Month: GLA=1312, 1313, 1314, 1330, 1400, 1500, 8000, 8100, 9811, 9821 or 9830. Displays the transaction amount posting to Accrued Receipts or Receipts/Collections in the GP File. Normal balance is a Credit.

   Obligation+Encumbrance/Accounts Receivable Balance: GLA=1312, 1313, 1314, 1330, 1400 or 1500. Displays the transaction amount posting to Accrued Receipts in the GP File. Normal Balance is a Credit.

   UNITS:

   Outstanding Prepayments/Advance Collection: Always zero.

   Expenditures/Revenue Current Month: GLA=6209. Displays the transaction amount posting to Units Accumulated in the GP File. Normal balance is a Debit.

   Obligation+Encumbrance/Accounts Receivable Balance: Always zero.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Project/Work Phase	None	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Project Type	None	Yes	No
Record Type [1]	None	Yes	No
Category/Source	None	No	Yes
Transaction ID	None	No	No

[1]   Defines the History and grant Project File records as Expenditure, Revenue or Units.

EXHIBIT III- HP1 (Continued)

```
CSTARHP1 9990 (DEST: A1 CTP2) PM, ,0,0,0,0,    ,   ,   ,         ,        ,       ,        ********** RUN:11/16/01 TIME:09.00
FISCAL MONTH:  04  OCTOBER      **************************** 0(NOFUND) FUND(ALL ) GL(ALL )
                                                DEPARTMENT OF AIR QUALITY
                                           PROJECT TRANSACTION ANALYSIS REPORT
                                                    AS OF 10/31/01
******************************************************************************************************************** PAGE    1
  PROJECT:          011240     HEALTH & SAFETY
  WORKPHASE:        01         HEALTH & SAFETY SOUTH
  PROJECT TYPE:     4          REIMBURSABLE PROJECT
  ENTITLEMENT PERIOD:  07/01/01 - 09/30/03
********************************************************************************************************************************
   OBJ/REV                              APP                                            LOC DEPOSIT/
    CODE        TRANSACTION ID   POST DATE  PCA #  SYM  MD  REF     INDEX  CUR DOC/SFX  REF DOC/SFX  CHECK/CLM SCH   T/C   R  M  O
  ----------- ---------------- --------- ----- --- -- --- ----- ----------- ----------- -------------- --- - - -
                                                                OUT PREPAYMENTS/     EXPENDITURES/REV    ENCUMBRANCE +
     VENDOR NO/SFX            VENDOR NAME              INVOICE NO  ADVANCE COLLECTION   CURRENT MONTH    ALLOC ENC/ A/R BAL
  ------------ ------------------------------- ------------- ------------------- ------------------ -----------------
  3-12-242    01101004045000020  01/10/10  52020 110  1  001   1510                                  CA00171      231
     0001158888 00 SMITH PRODUCTS                       00045                     0.00              2,025.00              0.00
  3-12-242    01101202249000080  01/10/12  52020 110  1  001   1510  RC000121-00               012 0379000121  104
     0002244777 00 NEWCAL INDUSTRIES                                              0.00                100.75-             0.00
  3-12-242    01101606349000040  01/10/16  52040 110  1  001   7530  37900045-00               379-000450     290
     0006664500 00 PC DOCS                                                        0.00                 10.00               0.00
  3-12-242    01101606349000050  01/10/16  52040 110  1  001   7540  37900046-00               379-000460     290
     0001112224 00 TREASURER, BANK OF UNLIMITED SUPPLY                            0.00                110.00               0.00

  * TOTAL OBJ/REV 3-12-242    ************************************************    0.00              2,044.25              0.00

  3-23-346    01101604399000040  01/10/16  12010 110  1  001   1500                                  CS00175      231
     0004445550 00 A-1 INDUSTRIES                        E0004777                 0.00              3,000.25              0.00
  3-23-346    01101604399000050  01/10/16  34030 110  1  001   1500                                  CS00175      231
     0004445550 00 A-1 INDUSTRIES                       000127C111                0.00              1,115.00              0.00
  3-23-346    01102502078000160  01/10/25  52010 110  1  001   1500  RC001714-00               044 0379001714  104
     0007778881 00 TECHNICAL SUPPORT                                              0.00                 31.50-             0.00
  3-23-346    01102502078000170  01/10/25  52010 110  1  001   1500  RC001714-00               016 0379001714  104
     0004441111 00 CB ENTERPRISES                                                 0.00                 16.90-             0.00

  * TOTAL OBJ/REV 3-23-346    ************************************************    0.00              4,066.85              0.00

  * TOTAL EXPENDITURES ********************************************************    0.00              6,111.10              0.00
```

EXHIBIT III- HP1 (Continued)

```
CSTARHP1 9990 (DEST: A1 CTP2) PM, ,0,0,0,0,    ,   ,   ,       ,       ,      ,          ********** RUN:10/16/01 TIME:09.00
FISCAL MONTH:  04  OCTOBER        **************************** 0(NOFUND) FUND(ALL ) GL(ALL )
                                              DEPARTMENT OF AIR QUALITY
                                          PROJECT TRANSACTION ANALYSIS REPORT
                                                 AS OF 10/31/01
*****************************************************************************************************************  PAGE    2
PROJECT:           011240     HEALTH & SAFETY
WORKPHASE:         01         HEALTH & SAFETY SOUTH
PROJECT TYPE:      4          REIMBURSABLE PROJECT
ENTITLEMENT PERIOD:  07/01/01 - 09/30/03
*****************************************************************************************************************
   OBJ/REV                               APP                                        LOC DEPOSIT/
    CODE      TRANSACTION ID   POST DATE  PCA #  SYM  MD  REF    INDEX  CUR DOC/SFX  REF DOC/SFX  CHECK/CLM SCH   T/C   R  M  O
-----------  ---------------- ---------  -----  ---  --  ---    -----  -----------  -----------  --------------   ---   -  -  -
                                                                      OUT PREPAYMENTS/    EXPENDITURES/REV     ENCUMBRANCE +
     VENDOR NO/SFX           VENDOR NAME                 INVOICE NO   ADVANCE COLLECTION    CURRENT MONTH    ALLOC ENC/ A/R BAL
 -------------  ------------------------------------  --------------  ------------------  ------------------  ------------------
991937       01101907101000050  01/10/19  82040  810  1   001    1520  INV01145-00                                   174
                                                                         0.00              10,000.00-            10,000.00-
991937       01101907101000110  01/10/19  82040  810  1   001    1510  INV01200-00                                   122
                                                                         0.00              12,000.00-            12,000.00-
991937       01102202450000160  01/10/22  82040  810  1   001    1500  RC000450-00               014 0379000450  102
                                                                         0.00               4,000.00-

* TOTAL OBJ/REV 991937     *******************************************************   0.00       26,000.00-            22,000.00-

* TOTAL REVENUES     *******************************************************         0.00       26,000.00-            22,000.00-
```

EXHIBIT III- HP1 (Continued)

```
CSTARHP1 9990 (DEST: A1 CTP2) PM, ,0,0,0,0,    ,   ,   ,         ,          ,      ,          ********** RUN:11/16/01 TIME:09.00
 FISCAL MONTH:  04  OCTOBER      *************************** 0(NOFUND) FUND(ALL ) GL(ALL )
                                          DEPARTMENT OF AIR QUALITY
                                     PROJECT TRANSACTION ANALYSIS REPORT
                                              AS OF 10/31/01
**********************************************************************************************************  PAGE    43
 PROJECT:          011240     HEALTH & SAFETY
 WORKPHASE:        01         HEALTH & SAFETY SOUTH
 PROJECT TYPE:     4          REIMBURSABLE PROJECT
 ENTITLEMENT PERIOD:  07/01/01 - 09/30/03
**********************************************************************************************************
    OBJ/REV                             APP                             LOC DEPOSIT/
     CODE      TRANSACTION ID   POST DATE  PCA #  SYM  MD  REF   INDEX  CUR DOC/SFX  REF DOC/SFX  CHECK/CLM SCH   T/C   R  M  O
 -----------  ----------------  ---------  -----  ---  --  ---   -----  -----------  -----------  --------------  ---   -  -  -
                                                                       OUT PREPAYMENTS/   EXPENDITURES/REV    ENCUMBRANCE +
     VENDOR NO/SFX              VENDOR NAME              INVOICE NO    ADVANCE COLLECTION  CURRENT MONTH     ALLOC ENC/ A/R BAL
 -------------  ---------------------------------------  -------------  -----------------  ------------------  ------------------
 7-78-998-02  011012LG234000020  01/10/12  52020  110  1   001   1510  CL010783-00                                         805
                                                                               0.00             125.00                         0.00
 7-78-998-02  011012LG234000080  01/10/12  52020  110  1   001   1510  CL010783-00                                         805
                                                                               0.00              80.75                         0.00
 7-78-998-02  011012LG234000140  01/10/12  52040  110  1   001   2530  CL010783-00                                         805
                                                                               0.00               4.00                         0.00
 7-78-998-02  011012LG234000150  01/10/12  52040  110  1   001   3540  CL010747-00                                         805
                                                                               0.00              44.25                         0.00
 7-78-998-02  011012LG234000740  01/10/12  12010  110  1   001   1500  CL010899-00                                         805
                                                                               0.00              80.25                         0.00
 7-78-998-02  011012LG234000850  01/10/12  34030  110  1   001   1500  CL010845-00                                         805
                                                                               0.00              15.00                         0.00
 7-78-998-02  011012LG234001160  01/10/12  52010  110  1   001   1200  CL010874-00                                         805
                                                                               0.00              31.50                         0.00
 7-78-998-02  011012LG234002370  01/10/12  52010  110  1   001   1300  CL010899-00                                         805
                                                                               0.00              16.00                         0.00

 * TOTAL OBJ/REV 7-78-998-02 ***************************************************     0.00             396.75                         0.00

 * TOTAL UNITS         ***************************************************     0.00             396.75                         0.00
```

EXHIBIT III- HP1 (Continued)

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EXHIBIT III-H00

REPORT NAME: **Transaction Registers**	REPORT NO: **CSTARH00**

PURPOSE:	Provides a complete listing of all accounting transactions posting to the History file for the period requested.

DESCRIPTION:	Contains the individual registers for the Fiscal Month. The report may be requested for a specific register.

REPORT REQUEST OPTIONS:

  Report Period:

    FM: CM, PM or PY
    P: Blank or F (See Special Notes section.)

  Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Specific	Specific	Specific	Specific
Register [1]	Register [1]	Register [1]	Register [1]

    [1] See Special Notes section.

  Fund Selection: Not applicable

  GLA Selection: Not applicable

  Additional Report Selection Options Popup Screen:

    Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

    PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

    OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

    FFY Selection: Enter the FFY or leave blank for all FFYs.

    PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.

  Destination Options: All available output media <u>except</u> **L** (Laser printer)

FINANCIAL ELEMENTS:

  Transaction Amount: The History record transaction amount. This is always displayed as a positive amount.

EXHIBIT III- H00 (Continued)

REPORT NAME: **Transaction Registers**	REPORT NO: **CSTARH00**

Special Notes:

If the report is requested with a Report Option of F, each record is displayed in a fixed two-line format to accommodate Monarch models. If Blank, each record is displayed in a variable-line format.

Report may be requested by one of the following registers in the Level of Detail option:

REGISTER NO.	DESCRIPTION
1	Budgetary
2	Claims Filed
3	Office Revolving Fund Disbursements and Receipts
4	Encumbrances
5	Obligations
6	Expenditures/Disbursements
7	Revenue/Receipts
8	Cost Allocation
9	General Journal
A	Agency Performance
B	CFIS Transactions
P	Payroll Interface

When requesting specific register, enter register number in Level of Detail. There is a limit of 4 specific registers in one day. The register to which a transaction posts is determined by the register indicator for the transaction code. This information may be found in the Transactions Illustrations in Volume 5.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fiscal Month	None	Yes	No
Register	Level of Detail: I,P,O,F	Yes	No
ENY	None	Yes	No
FFY	None	Yes	No
AS Number	None	No	No
Reference	None	No	No
Method	None	No	No
Fund	None	No	No
Fund Source	None	No	No
Transaction ID	None	No	No

EXHIBIT III- H00 (Continued)

```
CSTARH00 9990 (DEST: A1 CTP2) PM,F,0,0,0,0,   ,   ,   ,        ,       ,      ,          ********** RUN:01/05/03 TIME:09.00
FISCAL MONTH:  06  DECEMBER        ****************** ALL REGISTERS      **********************
                                       DEPARTMENT OF AIR QUALITY
                              EXPENDITURES/DISBURSEMENTS TRANSACTION REGISTER (6)
                                          AS OF 12/31/02
****************************************************************************************************************************
ENY: 02   FFY: 02

APP     M       F                                                             CHECK/BSEQ  REV/EXP
SYM REF D FUND FD S TRANSACTION ID    POST DTE PCA # INDX CUR-DOC/SFX REF-DOC/SFX LC DEP/CL-SCH CODE    TC  RMO TRANSACTION AMOUNT
  VENDOR NUMBER     VENDOR NAME                      SUBSIDIARY  LOCATION  PROJECT/WP  GLAN   MULTIPURPOSE
----------------------------------------------------------------------------------------------------------------------------

110 001 1 0001    G 02120304001000020 02/12/03 52010 5200           9AE2G008      2009779   263     231                893.54
  0000602800-00     US POSTMASTER                                    113355-00           CARRU-234567
110 001 1 0001    G 02120904101000100 02/12/09 32040 1700 RC001705-00 00010100-00 0120370014605 346    130              3,256.45
  0000107910-00     DAVIS WATER CONDITIONING         15100000   000122   335566-00        CANRU-123456
110 001 1 0001    G 02120904101000120 02/12/09 12030 1510           2CC2J011      2009780   418     231            1,000,000.00
  0000022345-00     AM PLUMBING SERVICE                                                  CARRU-123355
110 001 1 0001    G 02120902101000180 02/12/10 52010 1123 RC001694-00 00012200-00 0120370014602 580200- 104           1,200.50
  0000045710-00     BERRY-HINCKLEY INDUSTRIES                  000351                   CARRU-123355
110 001 1 0001    G 02120902100000250 02/12/10 30015 4100 RC001694-00 00013912-00 0120370014599 580200- 104             375.00
  0000045710-00     BERRY-HINCKLEY INDUSTRIES                                           CARRU-123355
110 001 1 0001    G 02121202136000730 02/12/12 12200 4200           9TC2M004      2009781   206     231                850.00
  0000070335-00     CALIFORNIA PACIFIC UTILITY                 000351   123456-00        CANRU-123456
110 001 1 0001    G 02121202136000740 02/12/12 12200 1500           3NL2A415      2009781   226     232 P               75.25
  0000364813-00     S & W PIPE AND STEEL SUPPLY                000133   123456-00        CARRU-234567
110 001 1 0001    G 02121202136000750 02/12/12 44500 3005           7TC2T009      2009781   238     231                345.67
  0000091620-00     THE COLEMAN COMPANY, INC.                                           CANNU-350123
110 001 1 0001    G 02121207125000200 02/12/12 52010 1300 JUS78900-00 SEPT/2002           394     242                 31.50
  0000102222-00     DEPARTMENT OF JUSTICE                                               CANRU-123456
110 001 1 0001    G 02121202136000270 02/12/12 44500 1460 AR005905-00                     580100- 123                 16.90
  0227082277-00     JOHNNY B GOOD                                                       CANNU-350123
110 001 1 0001    G 02121604299000010 02/12/16 52030 2220           8TC2X023      2009805   257-    231              3,560.25
  0000322803-00     SAFE FLIGHT INSTRUMENT CORP.     15100000   000534   223344-01        CARRU-234567
110 001 1 0001    G 02121604299000020 02/12/16 52030 1840           5TC2F010      2009805   206-    231                989.14
  0000180315-00     THE HOME DEPOT                   15100000   000989   223344-01        CANNU-350123
110 001 1 0001    G 02121604299000030 02/12/16 12200 1760           5TC2D009      2009805   225-    231              2,811.26
  0000180315-00     THE HOME DEPOT                                                       CANNU-350123
110 001 1 0001    G 02122206349000240 02/12/22 30010 4100 AR001687-00                     580100- 123                134.00
  0325033535-00     MARILYN MOON                                                        CANRU-123400
110 001 1 0001    G 02122206349000250 02/12/22 30010 3010 00001688   RC001100-00   379-045590 580300- 290             110.00
  0000208132-00     KLAMATH ASPHALT                                                     CARRU-124350
110 001 1 0001    G 02121204299000030 02/12/22 12200 1760           USFS1233      2009820   225-    231              2,811.26
  000440000-00      US FOREST SERVICE                15100000   000288   989950-00        CANNU-350123
```

EXHIBIT III- H00 (Continued)

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EXHIBIT III- H01

REPORT NAME: **Index Transaction Analysis Report**	REPORT NO: **CSTARH01**

PURPOSE:	Used to research the summarized activity appearing on the Operating File reports by Index.

DESCRIPTION:	Display of History records with a posting to the Operating File for Encumbrances (GLA 6150), Allocated Encumbrances (GLA 6160), Obligations (GLA 6170) and Expenditures (GLA 9000) by Index Code.

REPORT REQUEST OPTIONS:

Report Period:

   FM: CM, PM or PY
   P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	Not applicable

Fund Selection: Not applicable

GLA Selection: Applies to the Encumbrances/Allocated Encumbrance/Obligation column only.
   Blank   = Includes Allocated Encumbrances
   6150   = Excludes Allocated Encumbrances

Additional Report Selection Options Popup Screen:

   Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

   PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

   OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

   FFY Selection: Enter the FFY or leave blank for all FFYs.

   PRJ/WP Selection: Not applicable.

Destination Options: All available output media _except_ **L** (Laser printer)

FINANCIAL ELEMENTS:

   Encumbrances/Allocated Encumbrance/Obligation: GLA=6150, 6160 and 6170. Displays the History File transaction amount. Normal balance is a Debit.

      NOTE: The amount for GLAs in the 4th pair of the TC (Encumbrance liquidations) is the amount available to liquidate (per the Document File balance) up to the amount of the History File transaction. If an **F** modifier is used, the amount is the remaining balance per the Document file record.

   Expenditures: GLA=9000. Displays the History File transaction amount. Normal balance is a Debit

A negative sign (-) is printed to the right of the amount when the Reverse Code is **R** or when an Encumbrance is disencumbered.

EXHIBIT III- H01 (Continued)

REPORT NAME: **Index Transaction Analysis Report**	REPORT NO: **CSTARH01**

Special Notes:

This report does not include GLAs 9812, 9822 or 9844 that are included in the Expenditures column of an Operating File report.

This report does not include GLA 6151 that is included in the Encumbrances column of an Operating File report.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
FFY	None	Yes	No
Index Code	None	Yes	Yes
Object	None	No	Yes
Transaction ID	None	No	No
Post Date	None	No	No

EXHIBIT III- H01(Continued)

```
CSTARH01 9990 (DEST: A1 CTP2) PM, ,0,0,0,0,    ,    ,    ,         ,          ,        ,        ********** RUN:11/02/01 TIME:44.43
FISCAL MONTH:  04  OCTOBER       *************************************************** GL(ALL )
                                              DEPARTMENT OF AIR QUALITY
                                            INDEX TRANSACTION ANALYSIS REPORT
                                                  AS OF 10/31/01
********************************************************************************************************************** PAGE    2
FFY:      01
INDEX CODE: 1500          DIRECTOR
ORG DETAIL: 14-10-
**********************************************************************************************************************
--------TRANS ID-------
  DATE   T  NUM SEQ  D  --OBJECT---       OBJECT TITLE                          VENDOR NAME                  INVOICE NUMBER
---------------------- ---------- ------------------------------- ----------------------------------------- --------------

POST            CURR DOC    REF DOC    LOC DEPOSIT/                         ENCUMBRANCES/
DATE   DOC DATE ENY  NUMBER     NUMBER     CHECK/CL-SCH  PCA  TC  M R VENDOR NUMBER  ALLOC ENC/OBLIG     EXPENDITURES
----  -------- -- ---------- ---------- -------------- ----- --- - - ------------ ----------------- ------------------
01/10/04 04 089 00010 0  3-11-206    MISC OFFICE SUPPLIES            NEWCAL INDUSTRIES
10/04           01                        0004855  52030 231    0004447800-00            0.00            10,000.00

* TOTAL CAT-OBJECT: 3-11 ************************************************************       0.00            10,000.00

01/10/16 04 101 00001 0  3-12-242    PAMPHLT/LEAFLT/BROCH/ETC        GEOGRAPHIC DATA TECHNOLOGY
10/16           01                        0004866  52010 231    000200115-00             0.00         1,100,000.00
01/10/16 02 001 00002 0  3-12-242    PAMPHLT/LEAFLT/BROCH/ETC        PC DOCS
10/16 10/05/01 01 INV13321-00                      52010 123    000300457-00             0.00           100,000.00-

* TOTAL CAT-OBJECT: 3-12 ************************************************************       0.00         1,000,000.00

01/10/22 02 202 00001 0  3-13-257    TELEPHONE                       PACIFIC BELL
10/22 09/15/01 01 00002289-00             333 0379001714 12800 104    0001445230-00         0.00              890.00-

* TOTAL CAT-OBJECT: 3-13 ************************************************************       0.00              890.00-

01/10/16 04 256 00014 0  3-23-346    RECURRING MAINT SVS             SMITH COMPANY
10/16 09/22/01 01                         0004878  52010 231    0001112561-00            0.00            1,200.25
01/10/16 04 256 00015 0  3-23-346    RECURRING MAINT SVS             SMITH COMPANY
10/16 09/22/01 01                         0004878  30015 231    0001112561-00            0.00              645.00
01/10/16 04 256 00016 0  3-23-346    RECURRING MAINT SVS             SMITH COMPANY
10/16 09/22/01 01                         0004878  12200 231    0001112561-00            0.00              189.00
01/10/16 04 256 00017 0  3-23-346    RECURRING MAINT SVS             SMITH COMPANY
10/16 09/22/01 01                         0004878  47880 231    0001112561-00            0.00            2,100.00

* TOTAL CAT-OBJECT: 3-23 ************************************************************       0.00            4,134.25

01/10/15 02 254 00072 0  3-33-536    OTHER VEHICLE OPERTNS           GENERAL SERVICES
10/15 07/24/01 01 00001694-00             013 0379999999 52010 104             0.00               75.87-

* TOTAL CAT-OBJECT: 3-33 ************************************************************       0.00               75.87-

01/10/25 06 199 00053 0  4-44-614    TAXES AND ASSESSMENTS           BOARD OF EQUALIZATION
10/25 08/04/01 01 15892224                379-122399 52010 290    0007891000-00            0.00              810.50-

* TOTAL CAT-OBJECT: 4-44 ************************************************************       0.00              810.50-

* TOTAL INDEX: 1500 ***************************************************************       0.00         1,012,357.88
```

EXHIBIT III- H01(Continued)

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EXHIBIT III-H02

REPORT NAME:	**SCO/CALSTARS Monthly Detail Reconciliation Report**	REPORT NO: **CSTARH02**
PURPOSE:	Used to perform Monthly Reconciliation of posted detail accounting transactions between CALSTARS and the State Controller's Office.	
DESCRIPTION:	The report combines data from the CALSTARS History File and the SCO Agency Reconciliation File to perform an automated reconciliation of detailed transactions between SCO and CALSTARS. The reconciliation is by Appropriation Item and Document Number. Records from each file are matched by the SCO Account information as shown on the SCO Agency Reconciliation Report. For the CALSTARS History File records, the associated SCO Account Information is retrieved from the SCO Account Section of the AS Table. This is necessary since the coding structure for appropriations within the CALSTARS files may not be the same as maintained by SCO. The report shows one Appropriation Item per page along with a comparison of the detailed accounting transactions between CALSTARS and SCO by Document Number. For each Document Number, a message is printed indicating the status of the detailed transaction comparison.	

REPORT REQUEST OPTIONS:

   Report Period:

      FM: PM or PY
      P: Blank - All documents: matched or unmatched, balanced or not balanced
              B   - Matched and balanced documents only
              U   - Unmatched documents and unbalanced documents only

   Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not Applicable	Not Applicable	Not Applicable	Not Applicable

   Fund Selection: Blank (all Funds) or any valid Fund

   GLA Selection: Used to select a specific Enactment Year.
                       Blank (all Enactment Years) or any valid 4-digit Enactment Year

   Additional Report Selection Options Popup Screen: Not applicable

   Destination Options: All available output media

EXHIBIT III-H02 (Continued)

REPORT NAME: **SCO/CALSTARS Monthly Detail Reconciliation Report**	REPORT NO: **CSTARH02**

FINANCIAL ELEMENTS:

HEADER:

SCO Account: This is the SCO Account Information used to match SCO and CALSTARS data by appropriation for this report. This information should agree with the appropriation coding on SCO Agency Reconciliation Report. For the CALSTARS data, the associated SCO Account Information comes from the AS Table. The SCO Account fields displayed are as follows: ORG, FUND, SUB-FD, EY, REF, CAT, PGM, ELEM, COMP, TASK, REV-ACCT, AT, CT.

CALSTARS Account: For each Appropriation Item, this report displays the CALSTARS appropriation coding structure as maintained within the CALSTARS Files. This coding structure may be different from the SCO Account Information due to coding difference between SCO and CALSTARS. The following CALSTARS fields are displayed: ORG, SEC, FUND, FD-DTL, EY, REF, CAT, OBJ, OD, PGM, ELEM, COMP, TASK, SOURCE, AT, FFY, APPN-SYM.

For report display purposes, if any of the above CALSTARS fields are not unique within the SCO Account, multiple appropriation coding lines are displayed in the CALSTARS Account segment. This may happen if the AS Table SCO Account section is coded the same on multiple AS Table records. Subsequently, this may cause different appropriation items to use the same SCO Account Information for matching purposes.

In CALSTARS, agencies may use the Section and Fund Detail fields to maintain appropriations at a lower level of detail than SCO. If multiple CALSTARS Section and Fund Detail fields are encountered, the report displays '******'. This technique rolls lower level appropriations up to the level maintained by SCO.

For Partial Reappropriations, this report displays the REFERENCE field followed by the last two digits of the FFY (i.e., 00198). Partial Reappropriations in CALSTARS are identified by an Account Type of 'PR'.

For report display purposes, Source Codes **4*nnnnn***, **58*nnnn***, and **9*nnnnn*** are shown as blanks.

SCO RECORDS: SCO detail transaction activity records are selected from the SCO Agency Reconciliation File if <u>all</u> of the following conditions are true:

1. The SCO Account Type is **D**, **F**, **R**, or **T**;
2. The Record Type is **D**; and
3. The Enactment Year <u>is not</u> 1944 when the Fund <u>is</u> 0890.

The SCO Agency Reconciliation File is received by CALSTARS at the beginning of each month. All selected SCO detail records are summed by Organization, Document Number, and Post Date for display on this report. A total line is displayed when multiple summary records exists for the same document number. Each summarized record is compared against the CALSTARS History File for a match record.

EXHIBIT III-H02

REPORT NAME: **SCO/CALSTARS Monthly Detail Reconciliation Report**	REPORT NO: **CSTARH02**

FINANCIAL ELEMENTS: (Continued)

   SCO RECORDS: (Continued)

   The following SCO fields are displayed on the report:

   Document Number: This is the SCO Document Number used to match against the CALSTARS History File records.

   Post Date: This is the Transaction Date from the selected SCO record. Note that this is also the date displayed on SCO's Agency Reconciliation Report.

   Amount: The dollar amount of the selected SCO record.

   CALSTARS RECORDS: History File records are selected if <u>one</u> of the following conditions are true:

   1. The transaction impacts GLA 1140 and an Appropriation Symbol is found; **or**
   2. The transaction impacts GLA 6110, 6230, or 6231 <u>and</u> the TC is *not* 600-799 <u>and</u> an Appropriation Symbol is found; **or**
   3. The transaction impacts GLA's 3400 and 8000 or GLA's 3400 and 8100 <u>and</u> an Appropriation Symbol is found.

   Records that otherwise meet one of the preceding conditions with the Enactment Year of 1944 <u>and</u> the Fund of 0890 are *not* selected. Each selected CALSTARS History record is summed by Org, Document Number, Batch Date, Batch Type, and Batch Number for display on this report. A total line is displayed when multiple summary records exist for the same document number. The following CALSTARS fields are displayed on the report.

   Document Number: This is the Document Number used to match against the SCO Document Number in SCO Agency Reconciliation File. The Document Number is derived from either the Claim Schedule Number, Current Document Number/Suffix, or Reference Document Number/Suffix. In the order mentioned, the first field with a valid value is used.

   Batch ID: The Batch Date, Batch Type, and Batch Number of the selected History File record is provided to assist agencies in tracing the activity.

   Amount: The dollar amount of the selected History File record. The sign (+/-) is assigned based on the Debit/Credit posting of the selected GLA and the Reverse Code field of the History record.

   "MESSAGE" COLUMN TO THE RIGHT OF "CALSTARS RECORDS" COLUMNS: Where there are matching appropriations between SCO and CALSTARS, a comparison is done to match detail records from the two systems by the Document Numbers shown on this report. There is some minor manipulation of the Document Number prior to the comparison. For example, all spaces are zero filled. However, the Document Number as it is shown on the report for both SCO and CALSTARS is reflective of the way this value is coded in the two systems.

EXHIBIT III-H02

REPORT NAME: **SCO/CALSTARS Monthly Detail Reconciliation Report**	REPORT NO: **CSTARH02**

FINANCIAL ELEMENTS: (Continued)

"MESSAGE" COLUMN: (Continued)

The following messages may appear in the "Message" column:

MESSAGE	DESCRIPTION
Blank	No message is displayed when detailed records from SCO and CALSTARS are matched by DOCUMENT NUMBER and the transactions amount match.
DOLLAR DIFFERENCE	This message is displayed when detailed records from SCO and CALSTARS are matched by Document Number, but the amounts of the transactions do not match.
NO CALSTARS DOCUMENT	This message is displayed when a detailed record from SCO is unable to find a matching CALSTARS Document Number. The CALSTARS RECORDS column is left blank.
NO SCO DOCUMENT	This message is displayed when a detailed record from CALSTARS is unable to find a matching SCO Document Number. The SCO RECORDS column is left blank.

MESSAGE AT BOTTOM LEFT OF PAGE: The report process appends the SCO Account coding from the AS Table to each selected record from the CALSTARS History File. These CALSTARS History File records are then matched against records from the SCO Agency Reconciliation File for reporting. There are four possible results when matching appropriation records between SCO and CALSTARS and the resulting condition is indicated by the message printed on the bottom of the report. The messages are as follows:

MESSAGE	DESCRIPTION
AS TABLE NOT FOUND OR NO CALSTARS SCO ACCOUNT DATA	This message is issued if the process is unable to find an AS Table record for the AS coded in the CALSTARS History File record, or the SCO Account section on the AS Table record was not coded. Under this condition the SCO Account segment on the report page is blank and the CALSTARS segment will show the appropriation coding as it is maintained in CALSTARS. See Special Notes below for a discussion of the report impact. Determine whether or not the AS Table records were inadvertently deleted. If the SCO Account Segment on the AS Table record is not coded, it must be entered if you wish to perform an automated match against the SCO Agency Reconciliation File.
CALSTARS SCO ACCOUNT DATA - FOUND NO SCO DATA	This message is issued if detailed records from the CALSTARS History File are unable to find a matching record in the SCO Agency Reconciliation File. Under this condition both the SCO Account segment and the CALSTARS segment on the report page will show appropriation coding. This appropriation coding comes from the AS Table and the History File record. Determine whether or not the SCO Account coding in the AS Table record is entered correctly.

EXHIBIT III-H02

REPORT NAME:	**SCO/CALSTARS Monthly Detail Reconciliation Report**	REPORT NO: **CSTARH02**

FINANCIAL ELEMENTS: (Continued)

SCO ACCOUNT DATA - FOUND NO CALSTARS DATA	This message is issued if detailed records from the SCO Agency Reconciliation File are unable to find a matching record in the CALSTARS History File. Under this condition the SCO Account segment on the report page will show the appropriation coding from the SCO Agency Reconciliation File and the CALSTARS segment will be blank. Determine whether or not the SCO Account coding in the AS Table record is entered correctly.
MATCHING SCO AND CALSTARS ACCOUNT DATA FOUND	This message is issued if detailed records from the CALSTARS History File finds matching records in the SCO Agency Reconciliation File. Under this condition both the SCO Account segment and the CALSTARS segment on the report page will show appropriation coding.

SPECIAL NOTES:

This report is designed to first display CALSTARS records where the SCO Account Segment on the report page is blank. There after, records are sorted based on data in the SCO Account Segment of the report. The sort fields within the SCO Account Segment are displayed below.

If an AS Table record does not exist for the selected CALSTARS History File record, or the SCO Account section on the AS Table record was not coded, the SCO Account segment will be blank. In this situation, the CALSTARS Account segment of the report will display the appropriation coding structure as it is maintained in the CALSTARS files. However, a change in any one CALSTARS field value causes a page break to occur rather than an additional appropriation line. For the CALSTARS Section and Fund Detail fields, multiple values will continue to display '**' and a page breaking will not occur.

Selected SCO or CALSTARS transactions which net to zero when summed by document number will display on this report without any message in the right-most column. This is so regardless of whether or not corresponding transactions exist in both systems. Documents with zero amounts appear only on reports with a Report Period: P option of **Blank** or **B**.

REPORT SORT:

SCO DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Organization	None	Yes	No
Fund	None	Yes	No
Sub-Fund	None	Yes	No
ENY	None	Yes	No
Reference	None	Yes	No
Category	None	Yes	No
Program	None	Yes	No
Element	None	Yes	No
Component	None	Yes	No
Task	None	Yes	No
Revenue Account	None	Yes	No
Account Type	None	Yes	No
Control Type	None	Yes	No

EXHIBIT III-H02 (Continued)

```
CSTARH02 9990 (DEST: A1 CPT1) PM, ,0,0,0,0,    ,    ,    ,         ,  FND(ALL)* GL(ALL)*   ********** RUN:10/30/00 TIME:19:26
FISCAL MONTH:   05 NOVEMBER     *********************************** FND(ALL)* GL(ALL)*
                                           DEPARTMENT OF AIR QUALITY (9990)
                                      SCO/CALSTARS MONTHLY DETAIL RECONCILIATION REPORT
                                                    AS OF 11/30/99
************************************************************************************************************************* PAGE     1
SCO ACCOUNT:  ORG        FUND  SUB-FD  EY    REF     CAT              PGM  ELEM  COMP  TASK  REV-ACCT  AT  CT
              9990       0001          1999  001                     99                               D   C

CALSTARS:     ORG   SEC  FUND  FD-DTL  EY    REF     CAT  OBJ  OD  PGM  ELEM  COMP  TASK  SOURCE    AT     FFY   APPN-SYM
              9990       0001          1999  001                                                    CA     1999  900

          SCO RECORDS                                     CALSTARS RECORDS
---------------------------------------------   --------------------------------------------------   --------------------
DOCUMENT    POST                                DOCUMENT   ----- BATCH ID -----
 NUMBER     DATE            AMOUNT               NUMBER        DATE      TYPE  NBR         AMOUNT               MESSAGE
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
APC0010053  10-29-1999  $          100.00-                                                             NO CALSTARS DOCUMENT
APO0010050  10-08-1999  $          262.49-       APO00100 50  11-03-1999  02   801  $          262.49-
APS 000084  10-25-1999  $          960.00                                                             NO CALSTARS DOCUMENT
                                                 AR000018 69  10-14-1999  02   686  $           28.14-  NO SCO DOCUMENT
                                                 AR000181 70  10-14-1999  02   686  $           61.96-  NO SCO DOCUMENT
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
                                                 AR000181 71  10-14-1999  02   686  $          137.05-  NO SCO DOCUMENT
AR019088    10-04-1999  $            5.93-       AR019088 00  11-03-1999  02   801  $            5.93-
AR019713    10-06-1999  $            8.20-                                                             NO CALSTARS DOCUMENT
                                                 AR019730 00  11-03-1999  02   801  $            8.20-  NO SCO DOCUMENT
AR024752    10-14-1999  $            5.44-       AR024752 00  11-03-1999  02   801  $            5.44-
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
AR024938    10-15-1999  $           22.55-       AR024938 00  11-03-1999  02   801  $           22.55-
AR025477    10-19-1999  $           67.26-       AR025477 00  11-03-1999  02   801  $           57.26-  DOLLAR DIFFERENCE
AR026643    10-21-1999  $           13.07-       AR026643 00  11-03-1999  02   801  $           13.07-
AR027365    10-27-1999  $            0.15                                                             NO CALSTARS DOCUMENT
AR027366    10-27-1999  $            0.44                                                             NO CALSTARS DOCUMENT
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
                                                 BIG10#08     11-05-1999  05   757  $            0.00
                                                 BLKRECON     10-19-1999  05   770  $          978.11
                                                 BLKRECON     10-20-1999  05   780  $          978.11-
                                                       * TOT DOC NBR BLKRECON     $            0.00
CLA0000576  10-28-1999  $        20,579.68                                                             NO CALSTARS DOCUMENT
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
CLA0000577  10-28-1999  $        59,243.52                                                             NO CALSTARS DOCUMENT
CLO10246    10-04-1999  $        70,591.07       CL010246     11-19-1999  05   760  $           34.98
                                                 CL010246 00  11-09-1999  LG   907  $        70,556.09
* TOT DOC NBR CLO10246  $        70,591.07             * TOT DOC NBR CL010246 00  $        70,591.07
CLO10247    10-04-1999  $        14,780.53-       CL010247 00  11-09-1999  LG   906  $        14,780.53-
----------  ----------  ------------------       ----------  ----------  ----  ---  ------------------   --------------------
CLO10258    10-07-1999  $        39,743.52       CLO10258 00  11-09-1999  LG   001  $        39,743.52
CLO10260    10-07-1999  $        29,607.24-       CLO10260 00  11-09-1999  LG   001  $        29,607.24-
CLO10261    10-07-1999  $        28,656.35       CLO10261 00  11-09-1999  LG   001  $        28,656.35
CLO10262    10-08-1999  $        16,837.61       CLO10262 00  11-09-1999  LG   001  $        16,837.61
90000264    10-18-1999  $          669.91-       90000264 00  11-02-1999  07   032  $          696.91-  DOLLAR DIFFERENCE
MORE...
*************************************************************************************************************************
 MATCHING SCO AND CALSTARS SCO ACCOUNT DATA FOUND
*************************************************************************************************************************
```

EXHIBIT III- H03

REPORT NAME:	**General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARH03**

PURPOSE:	Identifies Cash in Transit to the SCO; unremitted General Cash; and provides detail transaction information that supports the H05 Remittance Advice Worksheet.

DESCRIPTION:	Lists History File records posting to General Cash, General Ledger Account 1110. The report includes information on remittances made in future fiscal periods that apply to the fiscal period requested.

REPORT REQUEST OPTIONS:

   Report Period:

      FM: CM, PM or PY
       P: Not applicable

   Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	1-Fund
			2-Fund Detail

   Fund Selection: Blank (all Funds) or any valid Fund

   GLA Selection: Not applicable

   Additional Report Selection Options Popup Screen: Not applicable

   Destination Options: All available output media

FINANCIAL ELEMENTS:

   Amount: GLA=1110. Displays the History File amount for records posting to General Cash. Normal balance is a Debit. A negative sign (-) is displayed to the right of the amount when the transaction posts as a Credit to General Cash.

SPECIAL NOTES:

   When requested as **PY** Fiscal Month option, the report does not include transactions that remit General Cash to the Treasurer for June that are posted in FM 13.

   Fiscal Month 13 entries are shown on a **CM** or **PM** request, if the document date matches or is earlier than the Fiscal Month of the report request.

   The report excludes History File records when the TC is 6*nn* or 7*nn*.

   If GLA 3400 and 3500 general cash is not remitted with this report, make a copy of the page or keep a separate listing of this unremitted money. When the specific nominal account is determined for GLA 3400 and 3500 money not remitted, include it with current money that is to be remitted. This will not show on this report when reclassed from GLA 3400 or 3500 to the nominal account. A notation of the nominal account and the date of actual remittance should be made on the copy of this report or separate listing of GLA 3400 and 3500 money not remitted.

EXHIBIT III- H03 (Continued)

REPORT NAME:	**General Cash Receipts and Disbursements Register**	REPORT NO: **CSTARH03**

SPECIAL NOTES: (Continued)

The Document Date (or Fiscal Month if Document Date is blank) of each remittance transaction (TC = F11, F12, F13, F14, 110, 145, 171, 173, 187 or 188) is evaluated to determine if the remittance transaction should be included in the report:

If the remittance transaction's Document Date is zero or blank <u>and</u> the Fiscal Month is the same as the report request Fiscal Month, the transaction is included.

If the remittance transaction's Document Date (month and year) matches the report As Of Date (month and year), the transaction is included.

> **<u>NOTE</u>**: The Document Date on the remittance transaction should be the last day of the month to which the remittance applies (e.g., when remitting June cash use Document Date of 06/30/200*n*. This allows remittances recorded in the subsequent fiscal month to appear on the June report.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Disbursing Org	None	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Record Type [1]	None	Yes	Yes
ENY	None	Yes	No
Reference	None	Yes	No
Fed Catalog Number	None	Yes	No
Appn Program	None	Yes	No
Revenue/Object	None	No	Yes
AS Number	None	No	No
FFY	None	No	No
Current Doc Number	None	No	No
Transaction ID	None	No	No

[1] Record type is assigned as follows (listed in sort order):

> <u>Payroll Accounts Receivable</u>: Source is **580100**.
> <u>Abatements</u>: Source is **58nnnn**, omitting **580100**.
> <u>Reimbursements</u>: Source is **9nnnnn**.
> <u>Revenue</u>: Source is **1nnnnn**, **2nnnnn**, **3nnnnn**, **51nnnn**, **52nnnn**, **53nnnn** or **59nnnn**.
> <u>Federal Funds</u>: Source is **4nnnnn** and Fund is 0890.
> <u>Federal Funds Reverted Appropriations</u>: Source is **57nnnn** AND Fund is 890.
> <u>Refunds to Reverted Appropriations</u>: Source is **57nnnn**.
> <u>Uncleared Collections</u>: Offset GLA is 3730 or TC is C01 or C02.
> <u>Deposits/Advance Collections</u>: Offset GLA is 3400 or 3500 or TC is 110, 145, 187 or 188.
> <u>Other</u>: All others not classified.

EXHIBIT III- H03 (Continued)

```
CSTARH03 9990 (DEST: A1 CTP2) CM, ,0,0,0,2,    ,    ,    ,         ,         ,         ,         **********  RUN:10/30/01 TIME:09.00
 FISCAL MONTH:  04  OCTOBER          ************************** 2(BYFDT ) FUND(ALL )*********
                                               DEPARTMENT OF AIR QUALITY
                                        GENERAL CASH RECEIPTS & DISBURSEMENTS REGISTER
                                                   AS OF 10/30/01
**************************************************************************************************************** PAGE    5
DISB ORG:        9990
FUND:            0001 GENERAL FUND
FUND DETAIL:
TYPE:            REVENUES
ENACTMENT YR:    01
REFERENCE:
FEDERAL CATALOG:
APPN PROGRAM:
---------------------------------------------------------------------------------------------------------------------------
REV/    APP      CURRENT     TRANSACTION  ID REFRENCE TRN INDEX                           LOC DEPOSIT     DOC
OBJ     SYM FFY  DOCUMENT    MMDD T NO  SEQ DOCUMENT CDE CODE  PCA    PROJECT  SOURCE  OBJECT OR CHECK NBR    DATE          AMOUNT
------  --- ---  ----------  --------------- -------- --- ---- ----- --------- ------- ------ -------------- -------- ---------------
120200 110 01  00001694 00 101002099000400          101 1700 82010 290300 05 120200        0120379001694 10-04-01         2.29
                            101002099000410          101 1700 82010 290300 05 120200        0120379001694 10-04-01       100.74

* TOTAL REV/OBJ 120200 ****************************************************************************************************        103.03

121500 110 01  00001448     101606111000010          173              290300 01 121500        3796211788 10-16-01       151.00-
                            101802602000020          101 1500 85020 290300 01 121500        0040379001448 10-05-01       151.00
               00006216     101806116000010          269 1500 85020 290300 05 121500        3796211799 10-18-01        91.00-

* TOTAL REV/OBJ 121500 ****************************************************************************************************         91.00-

125600 110 01  00001693 00 101602199000250 REC01003 142 1510 59060 450000 01 125600        0040379001693 10-01-01     4,221.50
               00001694 00 101602199000260 REC01003 142 1510 59060 450000 01 125600        0379001694 10-04-01         850.00
               00001694 00 101602199000270 REC01313 142 1510 59060 450000 01 125600        0120379001694 10-04-01       260.00
               00001695 00 101602199000290 REC01313 142 1510 59060 450000 01 125600        0120379001694 10-04-01       670.00
                            101606099000300 REC01313 171              450000 01 125600        3796211742 10-05-01     2,100.00-

* TOTAL REV/OBJ 125600 ****************************************************************************************************      3,901.50

141200 110 01  00001694 00 100602156000030 REC00055 142 1340 82050 730000 00 141200        0379001694 10-04-01         766.00
                            100602156000040 REC00156 142 1340 82050 730000 00 141200        0379001694 10-04-01          25.00

* TOTAL REV/OBJ 141200 ****************************************************************************************************        791.00

161400 110 01  RC100301 01 101801100000010          101 1500 52010 BANKRE 05 161400        ZBA5379011007 10-07-01       456.20
               RC100301 02 101801100000030          101 1340 52010 BANKRE 05 161400        ZBA5379011007 10-07-01        90.00
               RC100301 03 101801100000040          101 1530 52010 BANKRE 05 161400        ZBA5379011007 10-07-01        56.00

* TOTAL REV/OBJ 161400 ****************************************************************************************************        602.20

* TOTAL TYPE_DESC REVENUES                           ***************************************************************      5,306.73

* TOTAL FUND 0001 ********************************************************************************************************      5,306.73
```

EXHIBIT III- H03 (Continued)

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EXHIBIT III- H04

REPORT NAME:	**Claims in Process and Filed Activity Report**	REPORT NO: **CSTARH04**

PURPOSE:	Used to verify that Claims in Process has a zero balance at the end of the Fiscal Month.

DESCRIPTION:	Summary of History records with GLA 3021 for Claims in Process and Claims Filed for the fiscal month.

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	Not applicable

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media

FINANCIAL ELEMENTS:

Claims Filed: GL=3020. Displays the amount of Claims Filed transactions entered (TC 360). Normal balance is a Debit.

Claims in Process: GL=3021. Displays the amount of claim schedule expenditures entered. Normal balance is a Credit.

Balance: Calculated as the sum of Claims Filed and Claims in Process.

> **NOTE**: At the end of a Fiscal Month, the Balance column should be zero. If it is not, research the records to determine why there is a balance. Appropriate entries should then be made in CALSTARS to bring the balance to zero.

SPECIAL NOTES:

Only the first seven digits of the Claim Schedule number are used on the report. When the TC has GLA 3021, the batch Claim Schedule number is used. The Current Document Number field is used for TC 360.

Section and Fund information is at the Appropriation level of control. Section is present on the report when the AS Table Appropriation Organization Level Indicator is **1** OR the IC Table General Ledger Organization Level Indicator is **1**.

Project information is displayed only when the PC Table General Ledger Posting Level indicator is **1** or **B**.

When the AS Table Account Type is **PR** the Reference field is displayed as "REF/FFY".

EXHIBIT III- H04 (Continued)

REPORT NAME: **Claims in Process and Filed Activity Report**	REPORT NO: **CSTARH04**

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Section	None	Yes	Yes
Fund	None	Yes	Yes
ENY	None	Yes	Yes
Claim Number	None	No	Yes
FFY	None	No	No
Fund Source	None	No	No
Method	None	No	No
AS Number	None	No	No
Reference	None	No	No
Program	None	No	No
Category	None	No	No
Project/Work Phase	None	No	No
Source	None	No	No

EXHIBIT III- H04 (Continued)

```
CSTARH04 9990 (DEST: L1 CPT1) PM, ,0,0,0,0,    ,   ,   ,   ,       ,       ,       ,        ********** RUN:10/30/00 TIME:19.26
FISCAL MONTH:  03  SEPTEMBER      ************************************* FUND(ALL )*********
                                           DEPARTMENT OF AIR QUALITY
                                    CLAIMS IN PROCESS AND FILED ACTIVITY REPORT
                                               AS OF 09/30/00
******************************************************************************************************************  PAGE    2
SECTION:     00
FUND:        0001     GENERAL FUND
ENY:         99
******************************************************************************************************************
   CLAIM           FND     APN                                                         CLAIMS
 SCHEDULE   FFY    SRC   M  SYM   REF     PROG/CAT    PROJECT   SOURCE   CLAIMS FILED   IN PROCESS        BALANCE
-----------------------------------------------------------------------------------------------------------------

 CS00027    99      D    1  900   001                                          0.00           0.00           0.00

*TOTAL CLAIM SCHEDULE CS00027                                                  0.00           0.00           0.00

 CS00347    99      D    1  900   001                                      6,038.24       6,038.24-          0.00

*TOTAL CLAIM SCHEDULE CS00347                                              6,038.24       6,038.24-          0.00

 CS00349    99      D    1  900   001                                      2,626.15       2,626.15-          0.00

*TOTAL CLAIM SCHEDULE CS00349                                              2,626.15       2,626.15-          0.00

 CS00350    99      D    1  900   001                                     19,149.67      19,149.67-          0.00

*TOTAL CLAIM SCHEDULE CS00350                                             19,149.67      19,149.67-          0.00

 CS00352    99      D    1  900   001                                      1,734.54       1,734.54-          0.00

*TOTAL CLAIM SCHEDULE CS00352                                              1,734.54       1,734.54-          0.00

 CS00377    99      D    1  900   001                                          0.00           0.00           0.00

*TOTAL CLAIM SCHEDULE CS00377                                                  0.00           0.00           0.00

 CS00383    99      D    1  900   001                                        705.24         705.24-          0.00

*TOTAL CLAIM SCHEDULE CS00383                                                705.24         705.24-          0.00

 CS00389    99      D    1  900   001                                     96,594.14      96,594.14-          0.00

*TOTAL CLAIM SCHEDULE CS00389                                             96,594.14      96,594.14-          0.00

 CS00400    99      D    1  900   001                                      5,245.00       5,245.00-          0.00

*TOTAL CLAIM SCHEDULE CS00400                                              5,245.00       5,245.00-          0.00
```

EXHIBIT III- H04 (Continued)

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EXHIBIT III-H05

REPORT NAME:	**SCO Remittance Advice Worksheet**	REPORT NO: **CSTARH05**

PURPOSE:	Worksheet to be used when preparing the SCO Remittance Advice. This is a summary of the data on the H03: General Cash Receipts and Disbursements Register.

DESCRIPTION:	Summary of History File records that post to GLA 1110-General Cash.

REPORT REQUEST OPTIONS:

   Report Period:

      FM: CM, PM or PY
       P: Not applicable

   Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	1-Fund
			2-Fund Detail

   Fund Selection: Blank (all Funds) or any valid Fund

   GLA Selection: Not applicable

   Additional Report Selection Options Popup Screen: Not applicable

   Destination Options: All available output media

FINANCIAL ELEMENTS:

   Amount: GLA=1110. Displays the sum of History File amount for records posting to these GLAs. Normal balance is a Debit. A negative sign (-) is displayed to the right of the amount when the transaction has posted as a Credit to General Cash.

SPECIAL NOTES:

   The Report is designed for two purposes: to help in completing the Remittance Advice (RA) and entering the accounting transactions to record the remittance to the Treasurer.

   The fields under the heading "CALSTARS REMITTANCE INFO" are used when completing the Remittance Advice. This gives agencies most of the data required for this purpose.

   The fields under the heading "INPUT INFO" provide coding to record the check transaction in CALSTARS for the Remittance Advice.

   If GLA 3400 and 3500 general cash is not remitted with this report, make a copy of the page or keep a separate listing of this unremitted money. When the specific nominal account is determined for GLA 3400 and 3500 money not remitted, include it with current money that is to be remitted. This will not show on this report when reclassed from GLA 3400 or 3500 to the nominal account. A notation of the nominal account and the date of actual remittance should be made on the copy of this report or separate listing of GLA 3400 and 3500 money not remitted.

EXHIBIT III- H05 (Continued)

REPORT NAME: **SCO Remittance Advice Worksheet**	REPORT NO: **CSTARH05**

SPECIAL NOTES: (Continued)

The Document Date field is evaluated for all General Cash transactions to determine if it should be included in the report. Future fiscal month entries (TCs) for remittance of General Cash are evaluated for inclusion in the report. The following is the evaluation performed on the Document Date field to determine if a transaction should be included on the report:

When requested as PY fiscal month option the report does not include transactions that recorded cash already remitted to the Treasurer for June that might have been posted to FM 13.

If the document month is zero or blank and the Fiscal Month is the same as the agency's report request Fiscal Month, the transaction is included.

If the TC is F11, F12, 110, 114, 145, 171, 173, 187 or 188 and the document month and year match the report As Of Date (Month and Year), the transaction is included. This allows remittances recorded in the subsequent fiscal month to appear on the report.

The report excludes History File records when the TC is 6XX or 7XX.

The Description column displays the following information:

Document Number and Vendor Number, when present, for Payroll Accounts Receivables.

Source Code title for all other types of records.

Records classified as "Uncleared Collections" or "Other" on the H03 Report are excluded from this report.

Records classified as "Deposits/Advance Collections" are listed first and totalled separately for the fund because generally they are not remitted. The "TOTAL FUND NNN" line (for other than Deposits/Advance Collections) should agree with the remittance amount for that fund.

When the AS Table Account Type is **PR**, the FFY is printed after the Reference number as REF/FY.

EXHIBIT III- H05 (Continued)

REPORT NAME: **SCO Remittance Advice Worksheet**	REPORT NO: **CSTARH05**

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	Yes
Record Type *	None	Yes	Yes
RA Info:			
ENY	None	Yes	No
Reference	None	Yes	No
Fed Catalog No.	None	Yes	No
Category	None	No	No
Program	None	No	No
Revenue/Object	None	No	No
Input Info:			
Source	None	No	No
AS Number	None	No	No
FFY	None	No	No
Object	None	No	No

* Record type is assigned as follows (listed in sort order):

Deposits/Advance Collections: Offset GLA is 3400 or 3500 or TC is 110, 145, 187 or 188.

Payroll Accounts Receivable: Source Code is 580100.

Abatements: Source Code is 58*nnnn*, omitting 580100.

Reimbursements: Source Code is 9*nnnnn*.

Revenue: Source Code is 1*nnnnn*, 2*nnnnn*, 3*nnnnn*, 51*nnnn,* 52*nnnn,* 53*nnnn* or 59*nnnn*.

Federal Funds: Source Code is 4*nnnnn* and Fund is 0890.

Federal Funds Reverted appropriations: Source Code is 57*nnnn* AND Fund is 0890.

Refunds to Reverted Appropriations: Source Code is 57*nnnn*.

EXHIBIT III- H05 (Continued)

```
CSTARH05 9990 (DEST: A1 CPT1) PM, ,0,0,0,2,    ,   ,   ,        ,       ,      ,          ********** RUN:10/30/00 TIME:19.26
FISCAL MONTH:  03  SEPTEMBER      **************************** 2(BYFDT ) FUND(ALL )*********
                                         DEPARTMENT OF AIR QUALITY
                                       SCO REMITTANCE ADVICE WORKSHEET
                                             AS OF 09/30/00
******************************************************************************************************************  PAGE    1
FUND:              0001 GENERAL FUND
FUND DETAIL:
TYPE:              REVENUES
--------------------------------------------------------------------------------------------------------------------------
------------------------------ C A L S T A R S   R E M I T T A N C E   I N F O -------------------------------- --- INPUT INFO ---
   FUND  AGY  EY REF/FY FED CAT P/N C CAT PGM ELE COMP TASK REV/OBJ     AMOUNT           DESCRIPTION            SOURCE A/S FFY OBJ
------- ---- -- ------ ------- --- - --- --- --- ---- ---- ----------------------- ----------------------------- ------ --- --- ---
0001   9990 00 980                                       164300        0.00  PENALTY ASSESSMENTS            164300 700 00

*TOTAL REVENUES                                                        0.00

*TOTAL FUND 0001                                                       0.00
```

EXHIBIT III- H06

REPORT NAME: **Cash Receipts and Disbursements Register**	REPORT NO: **CSTARH06**

PURPOSE:	An agency's check and deposit register. It can be used to reconcile their agency bank account.

DESCRIPTION:	Lists History File records that affect agency cash, General Ledger Accounts 1110, 1120 and 1130 for all funds. It excludes History entries with TCs 191, 403, 404, 508, 520, 521, 524-534, 539, 600-799, 917, and 951-953.

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Total on Check Nbr/Cur Doc Nbr	Not applicable	Not applicable	0-No Fund 1-Fund
1-Total on Check Nbr/Cur Doc Nbr			2-Fund Detail
2-Total on Location Deposit Nbr			

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media

FINANCIAL ELEMENTS:

Amount: Displays the History File record amount that represents either the cash deposits or checks written against the Bank account.

Total Deposits: Calculated as the sum of all deposits made during the report period. History File records included in this section do not have a Check Number. Normal balance is a Debit. When a reversal entry is made, a negative sign (-) is displayed to the right of the amount field.

Total Checks: Calculated as the sum of all checks issued during the report period. History File records included in this section have a Check Number. Normal balance is a Credit. When a reversal entry is made, the amount is displayed without the negative sign (-).

Total Fund: Calculated as the sum of Total Deposits and Total Checks. Balance may be either a Debit or a Credit.

EXHIBIT III- H06 (Continued)

REPORT NAME: **Cash Receipts and Disbursements Register**	REPORT NO: **CSTARH06**

Special Notes:

When requested as PY Fiscal Month option, the report includes only transactions that posted to FM 13. For purposes of performing the Bank reconciliation, this report should be combined with the FM 12 report.

If an agency records cash into more than one fund or uses the Office Revolving Fund (0998), it is recommended that the H06 Report be requested by Fund.

The totals on Check Number and Current Document Number occur when the Index Level of Detail Option is set to **1**. The totals are on the Check Number, when present. If Check Number is not present in the record, then the total is on the Current Document Number.

Stop payment entry for uncashed or stale dated checks (TC 196) appears as a receipt instead of a disbursement.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	Yes
GLA Indicator [1]	None	No	No
Check number	Level of Detail: I	No	No [2]
Location Deposit Nbr	Level of Detail: I	No	No [3]
Current Doc Number	Level of Detail: I	No	No [2]
Reference Doc Nbr	None	No	No
Transaction ID	None	No	No

[1] GLA Indicator identifies the record as having a Check Number (Checks) or not (Deposits).

[2] When Index Level-of-Detail is **1**, there is a sub-total on both Check Number and Current Document Number.

[3] When Index Level-of-Detail is **2**, there is a sub-total on Location Deposit Number.

EXHIBIT III- H06 (Continued)

```
 CSTARH06 9990 (DEST: A1 CTP2) PM, ,2,0,0,1,    ,    ,    ,        ,         ,      ,          ********** RUN:11/05/01 TIME:07.37
 FISCAL MONTH:  04  OCTOBER       2(LCDEP)***************** 1(FUND ) FUND(ALL )*********
                                                 DEPARTMENT OF AIR QUALITY
                                           CASH RECEIPTS & DISBURSEMENTS REGISTER
                                                      AS OF 10/31/01
 ***************************************************************************************************************************** PAGE    2
 FUND:    0001    GENERAL FUND

 *****************************************************************************************************************************
 LOC DEPOSIT OR                         --TRANSACTION ID- DOC DATE
     CHECK-NBR CUR-DOC-SF REF-DOC-SF  DATE TP NO SEQ D MM-DD-YY TC R FFY GL  VENDOR-NBR SF     VENDOR NAME                       AMOUNT
 --- ---------- ---------- ---------- ---------------- -------- ---- -- ---- ---------- -- ----------------------- ---------------
            RC00400100                01102202034000010 10-01-01 101  01 8000                                           32.20
            RC00400600 01T0019000     01102202034000020 10-01-01 101  01 8000                                        1,850.00
                                                                    ****** TOTAL LOC DEPOSIT                          1,882.20

 012 0379001704 RC001704             01100302120200620 10-02-01 102  01 8100                                      958,935.41

 066 0379001707 RC001707             01100302120000630 10-18-01 102  01 8100                                       25,786.22

     0379006216 RC006216             01101602180000180 01-16-01 101R 01 8000                                           91.00-

     0379014427 RC014427  0012150057 01101602199000640 10-04-01 109  01 3400                                        1,450.00
     0379014427 RC014427  0012150057 01101602199000650 10-04-01 109  01 3400                                        1,250.00
     0379014427 RC014427  0012150057 01101602199000660 10-04-01 109  01 3400                                        1,550.00
     0379014427 RC014427  0012150057 01101602199000670 10-04-01 109  01 3400                                        1,350.00
                                                                    ****** TOTAL LOC DEPOSIT        0379014427       5,600.00

 ZBA 2379011019 RC10030101           01102502100000010 10-25-01 101  01 8000                                          456.20
 ZBA 2379011019 RC14000100           01102502100000020 10-25-01 101  01 8000                                           78.00
                                                                    ****** TOTAL LOC DEPOSIT      ZBA 2379011019       534.20

 ***** TOTAL RECEIPTS        ***********************************************************************************      992,647.03

     379-061497                      01101606349000210 10-16-01 171  01 1150 0011133000 00 STATE TREASURER'S OFFICE   25,520.00-
     379-061497                      01101606349000220 10-16-01 171  01 1150 0011133000 00 STATE TREASURER'S OFFICE  162,559.06-
     379-061497                      01101606349000230 10-16-01 171  01 1150 0011133000 00 STATE TREASURER'S OFFICE  112,806.06-
                                                                    ** TOTAL CHECK NUMBER 379061497                  300,885.12-

     379-061498                      01101806365000050 10-18-01 290  01 9000 0456145721 00 ANDERSON & SMITH            1,110.00-

     379-061499                      01101906448000010 10-19-01 290  01 9000 0006517411 00 THOMPSON PUBLISHING            10.00-

     379-061507 L000168600           01102206880000020 10-22-01 280  01 1710 0721456800 00 BLUE RIBBON SUPPLIES          463.00-

     379-455683                      01102206110000010 10-22-01 171  01 1150 0011133000 00 STATE TREASURER'S OFFICE    1,589.00-

     379-455685                      01102602444000010 10-26-01 171  01 1150 0011133000 00 STATE TREASURER'S OFFICE    5,000.00-

 ***** TOTAL DISBURSEMENTS ***********************************************************************************        309,057.12-

 * NET OF RECEIPTS AND DISBURSEMENTS FOR FUND 0001                                                                   683.589.91
```

EXHIBIT III- H06 (Continued)

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EXHIBIT III- H07

REPORT NAME:	**Letter of Credit Drawdown Remittance Advice Worksheet**	REPORT NO: **CSTARH07**

PURPOSE:	Assists in the preparation of the Letter of Credit Drawdown Remittance Advice to the SCO.

DESCRIPTION:	Lists History File records that post to GLA 9000; have a Fund Source of **F** (Federal Trust Fund); and have the PC Table Billing Cycle set to **1** (Daily).

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY

P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	Not applicable

Fund Selection: Not applicable

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen: Not applicable

Destination Options: All available output media

FINANCIAL ELEMENTS:

Amount: The History File record amount. Normal balance is a Debit.

SPECIAL NOTES:

This report is produced on a daily basis when an agency has History File activity that meets the selection criteria for the report. The report may also be requested for the entire month from the Report Request Table.

Reference is shown as REF/FFY when the AS Table Account Type is **PR**.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Disbursing Org	None	Yes	No
Fed Catalog Number	None	Yes	Yes
ENY	None	Yes	No
Section	None	Yes	No
Fund	None	Yes	No
Fund Source	None	Yes	No
Method	None	Yes	No
Reference	None	Yes	No
AS Number	None	No	No
Program	None	No	Yes
GLAN	None	No	No
Process Date	None	No	No
Transaction ID	None	No	No

EXHIBIT III- H07 (Continued)

```
CSTARH07 9990 (DEST: A1 CPT1) PM, ,0,0,0,0,    ,    ,    ,        ,         ,      ,        ********** RUN:11/07/00 TIME:06.01
FISCAL MONTH:  04  OCTOBER    *********************************************************
                                    DEPARTMENT OF AIR QUALITY
                        LETTER OF CREDIT DRAWDOWN REMITTANCE ADVICE WORKSHEET
                                        AS OF 10/31/00
*************************************************************************************************************************
DISBURSING ORG:  9990       DEPARTMENT OF AIR QUALITY
FED CAT NO:  12131912       CLEAN AIR PROGRAM
ENY:            98
SECTION:
FUND:         0890          FEDERAL TRUST FUND
FUND SOURCE:     F          TRUST AND AGENCY FUNDS--FEDERAL
METHOD:          1          BUDGET ACT
REFERENCE:     101          LOCAL ASSISTANCE
-----------------------------------------------------------------------------------------------------------------------
APN    ACT
SYM  FFY  TYP  PROG        GLAN  TITLE
N10  98   00   20          9000  APPRO EXPEND/OPERATING EXPEND & EXPENSES

     PROC DATE  TRANS ID              TC   R  CLAIM SCHED   PROJ/WKPHSE   DOC DATE   PCA    INDX   OBJ DTL      AMOUNT
     10/10/00   10/10/00 04 616 00007 0 232     0040157      890800/12    10/10/00   41550  2207    751        28,560.00
     10/10/00   10/10/00 04 616 00008 0 232     0040157      890800/12    10/10/00   41550  2207    751        30,000.00
     10/10/00   10/10/00 04 616 00009 0 232     0040157      890800/12    10/10/00   41550  2207    751        30,000.00
     10/10/00   10/10/00 04 616 00010 0 232     0040157      890800/12    10/10/00   41550  2207    751        19,015.16
                          CFIS PROG LEVEL IND IS INVALID                             PROGRAM SUBTOTAL:        107,575.16

  TOTAL FOR FED CAT NO:    14239012                                                                           107,575.16
```

EXHIBIT III- H08

REPORT NAME: **Receipts Transaction Register**	REPORT NO: **CSTARH08**

PURPOSE:	Provides the detail of History records that supports the monthly activity shown on the Q25 Report: Summary of Receipts by Appropriation as well as abatement records not shown on the Q25.
DESCRIPTION:	Lists the History File records for Revenues, Reimbursements earned, Abatements, Operating Transfers-In, Other Sources and Refunds To Reverted Appropriations..

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	1-Fund
			2-Fund Detail

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen:

Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

OBJ Range: Not applicable.

FFY Selection: Enter the FFY or leave blank for all FFYs.

PRJ/WP Selection: Not applicable.

Destination Options: All available output media

FINANCIAL ELEMENTS:

Transaction Amount: GLA=8000, 8100, 9000 (for source code 580nnn), 9811, 9830, and 9891. Normal balance is a Debit. When the transaction is a refund or a reversal, it is shown as a Credit. When the transaction is the reverse of a cash receipt refund, it is shown as a Debit.

SPECIAL NOTES:

Information in the Header depends on the Appropriation File Control indicators.

EXHIBIT III- H08 (Continued)

REPORT NAME: **Receipts Transaction Register**	REPORT NO: **CSTARH08**

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
ENY	None	Yes	Yes
Section	None	Yes	No
Fund	Level of Detail: F	Yes	Yes
Fund Source	None	No	No
GL Account	None	No	Yes
FFY	None	No	No
Program	None	No	Yes
AS Number	None	No	No
Method	None	No	No
Source	None	No	Yes
Transaction ID	None	No	No

EXHIBIT III- H08 (Continued)

```
CSTARH08 9990 (DEST: AA SPEC) PM, ,0,0,0,0,0001,    ,    ,     O(      ,       ,      ,        ********** RUN:03/13/03 TIME:06.11
FISCAL MONTH:  08  FEBRUARY        **************************** O(      ) FUND(0001)*********
                                            DEPARTMENT OF AIR QUALITY
                                            RECEIPTS TRANSACTION REGISTER
                                                 AS OF 02/28/03
********************************************************************************************************************** PAGE    2
ENY:            02
SECTION:        00
FUND:           0001      GENERAL FUND


FND  GL      APPROPRIATION  APN M        AGY                    CURRENT        REFERENCE                      TRAN
SRC ACCT FFY PG EL CMP TSK  SYM D  SOURCE SRC   TRANSACTION ID  DOCUMENT       DOCUMENT      PCA   INDX   CODE R TRANSACTION AMOUNT
---------------------------------------------------------------------------------------------------------------------------------
G   8000 02                 980 1  141200      03022402052000030 01802158 00  REGION08 00  80827 0180   101            40.00
                                               03030302102000030 01602893 00  REGION06 00  80827 0160   101            35.00
                                               03030302104000040 01802159 00  REGION08 00  80827 0180   101            65.50
                                               03030502137000020 01302931 00  REGION03 00  80827 0130   101            10.00
                                               03030702143000030 01802160 00  REGION08 00  80827 0180   101            40.00
                                               03030702156000040 01802161 00  REGION08 00  80827 0180   101            25.00

 *TOTAL SOURCE 141200                                                                                                 215.50

                                   142500      03022402028000210 RC020367 00  C2002511 00  80827 0550   101            30.00
                                               03022402052000040 01802158 00  REGION08 00  80827 0180   101             9.00
                                               03022502044000030 01102664 00  REGION01 00  80827 0110   101             9.80
                                               03022502081000040 RC020379 00  C2002543 00  80827 0250   101           170.00
                                               03022602032000420 RC020361 00  C2002484 00  80827 0300   101           391.20
                                               03030302098000030 01102265 00  REGION01 00  80827 0110   101           196.31
                                               03030302102000020 01602893 00  REGION06 00  80827 0160   101             9.50
                                               03030302104000030 01802159 00  REGION08 00  80827 0180   101            55.90
                                               03030502135000020 01204404 00  REGION02 00  80827 0120   101             3.60
                                               03030702141000010 01602824 00  REGION06 00  80827 0160   101            10.30
                                               03030702144000030 01902742 00  REGION09 00  80827 0190   101            19.60
                                               03030702156000030 01802161 00  REGION08 00  80827 0180   101            32.13
                                               03030702164000030 01102667 00  REGION01 00  80827 0110   101            62.00
                                               03031002024000030 RC020371 00  C2002527 00  80827 0300   101             3.90
                                               03031002115000140 RC020394 00  C2002581 00  80827 0300   101           516.75
                                               03031002134000020 01102666 00  REGION01 00  80827 0110   101             6.20
                                               03031002140000040 01402492 00  REGION04 00  80827 0140   101            16.40

 *TOTAL SOURCE 142500                                                                                               1,542.59

                                   161000      03021406712000010 CAN22539-40               80827 0140   136         3,800.20
                                               03030607822000010 CAN23539-40               80827 0150   136           182.10

 *TOTAL SOURCE 161000                                                                                               3,982.30
```

EXHIBIT III- H08 (Continued)

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EXHIBIT III- H09

REPORT NAME:	**History File Expenditure Records Supporting the Q12 Report**	REPORT NO: **CSTARH09**

PURPOSE:	Lists History File records that support the Current Month Expenditure column on the Q12 Report.

DESCRIPTION:	Provides a detailed listing of all transactions posting to the Operating File GLAs 9000, 9812, 9822 and 9844. The report is sorted by Organization, then Program. Totals for each Category/Object/Object Detail/Agency Object match the applicable Q12 Report line when requested at the same level of detail.

REPORT REQUEST OPTIONS:

  Report Period:

   FM: CM, PM or PY
   P: C=Current Fiscal Year, P=Prior Fiscal Year, Blank=all Fiscal Years

  Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	0-No Program	0-No Object	0-No Fund
1-Section	1-Program	1-Category	1-Fund
2-Sub-Section	2-Element	2-Object	2-Fund Detail
3-Unit	3-Component	3-Object Detail	3-Not used
4-Sub-Unit	4-Task	4-Agency Object	4-Fund after Index
5-Sub-Sub-Unit	5-PCA		5-Fund Detail after
6-Index			Index

  Fund Selection: Blank (all Funds) or any valid Fund

  GLA Selection: Not applicable

  Additional Report Selection Options Popup Screen:

   Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

   PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

   OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

   FFY Selection: Enter the FFY or leave blank for all FFYs.

   PRJ/WP Selection: Not applicable.

  Destination Options: All available output media except **L** (Laser printer)

FINANCIAL ELEMENTS:

  Cur Month Exp: GLA=9000, 9812, 9822, and 9844. The transaction amount for the month being reported. Normal balance is a Debit.

EXHIBIT III- H09 (Continued)

REPORT NAME:	**History File Expenditure Records Supporting the Q12 Report**	REPORT NO: **CSTARH09**

Special Notes:

Subtotals for the Organization, Program and Category structure occur only at the I, P, and O/S Level of Detail requested. There are no additional hierarchial subtotals.

If Fund Level of Detail **4** or **5** is requested, Fund, Fund Detail and Fund Source appear after Index in the heading.

Depending on the level requested, this could be a *very large* report.

History records with an alpha Batch Type <u>NOT</u> **AN** (Reverse Year End Adjusting entries) or **WA** (Claim Schedule TC 360/361) are summarized for display on the report. The invoice field describes those batches as:

<u>Batch Type</u>	<u>Invoice Field</u>
LA and LG	Labor Distrib
CB to CK	Cost Alloc
YO to YZ	Year End

The alpha batches are summarized by FFY, Fund, Fund Detail, Fund Source, Program structure, Organization structure, Category structure, Invoice, Document Date, Reference Document/Suffix, Current Document/Suffix, and Batch Header (no sequence number). When the sum of the History File records equals zero, the record is not printed. This could result in a printed subtotal of zero for a Category/Object/Object Detail/Agency Object with no History File records shown.

Subtotals appear at the level requested for Fund, Organization, Program and Category only. There are no hierarchial subtotals.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
FFY	Report Period: P	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Organization	Level of Detail: I	Yes	Yes
Program	Level of Detail: P	No	Yes
Category	Level of Detail: O/S	No	Yes
Invoice	None	No	No
Document Date	None	No	No
Reference Doc	None	No	No
Current Doc	None	No	No
Claim Schedule No.	None	No	No
Batch Header	None	No	No
Process Date	None	No	No
TC	None	No	No
Reverse	None	No	No

EXHIBIT III- H09 (Continued)

```
CSTARH09 9990 (DEST: A1 CPT1) PM, ,6,5,4,0,    ,    ,    ,        ,        ,      ,         ********* RUN:10/30/00 TIME:19.26
FISCAL MONTH:  03  SEPTEMBER       6(INDEX) 5(PCA ) 4(AGYOBJ) 0(NOFUND) FUND(ALL ) GL(ALL )
                                                    DEPARTMENT OF AIR QUALITY
                                     HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q12 REPORT
                                                        AS OF 09/30/00
************************************************************************************************************************** PAGE    1
FFY:          99
INDEX:        20-20-30-00-00-1223   COMMUNITY SERVICES BUREAU
**************************************************************************************************************************
PG EL CMP TSK  PCA  DESCRIPTION                    C OB OD  AO DESCRIPTION
-- -- --- --- ----- ---------------------------- - -- --- -- -----------------------------
   INVOICE       DOC DATE REF DOC SX CUR DOC SX CLAIM NO  BATCH   HDR PR DATE  TC  R         VENDOR NAME              CUR MONTH EXP
--------------- -------- ----------- ---------- -------- ---------- -------- --- - -------------------------------- ---------------
10 00 000 000 41110 AIR QUALITY SERVICES          3 26 418 00 OTHER EXTERNAL SVS
 0055321-IN    05/02/00                          084516K  00092904074 09/29/00 231   IRON OFFICE SOLUTIONS               17,200.97
 0055501-IN    05/31/00                          084516K  00092904074 09/29/00 231   IRON OFFICE SOLUTIONS               12,653.68
 0055706-IN    07/06/00                          084516K  00092904074 09/29/00 231   IRON OFFICE SOLUTIONS               17,945.14
 0056146-IN    04/21/00                          084516K  00092904074 09/29/00 231   IRON OFFICE SOLUTIONS                1,978.80
 0056147-IN    04/25/00                          084516K  00092904074 09/29/00 231   IRON OFFICE SOLUTIONS                  455.95
                                                                                                                     -----------------
*TOTAL AGENCY OBJECT 00  OTHER EXTERNAL SVS                                                                              50,234.54

10 00 000 000 41110 AIR QUALITY SERVICES          4 54 632 00 OTHER-SPEC ITEMS EXP
               06/27/00                          084513K  00091204005 09/12/00 231   S SHINPAUFF                            90.00
                                                                                                                     -----------------
*TOTAL AGENCY OBJECT 00  OTHER-SPEC ITEMS EXP                                                                                90.00


*TOTAL PCA 41110 AIR QUALITY SERVICES                                                                                    50,324.54


*TOTAL INDEX 1223 COMMUNITY SERVICES BUREAU                                                                              50,324.54
```

EXHIBIT III- H09 (Continued)

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EXHIBIT III- H1A

REPORT NAME: **PCA Transaction Analysis Report**	REPORT NO: **CSTARH1A**
PURPOSE: Used to research summarized activity that appears on Operating File reports by PCA.	
DESCRIPTION: Displays History File activity for Expenditures, Encumbrances, and Obligations by Program Cost Account (PCA). The report contains information from History File records with a posting to GLAs 6150, 6160, 6170, 9000, 9812, 9822, or 9844.	

REPORT REQUEST OPTIONS:

Report Period:

    FM: CM, PM or PY
     P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
Not applicable	Not applicable	Not applicable	Not applicable

Fund Selection: Not applicable

GLA Selection: Applies to the Budgetary/Encumbrance/Allocated Encumbrance/Obligation column.
          Blank   = Includes Allocated Encumbrances
          6150   = Excludes Allocated Encumbrances

Additional Report Selection Options Popup Screen:

    Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

    PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

    OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

    FFY Selection: Enter the FFY or leave blank for all FFYs.

    PRJ/WP Selection: Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.

  Destination Options: All available output media <u>except</u> **L** (Laser printer)

EXHIBIT III- H1A (Continued)

REPORT NAME: **PCA Transaction Analysis Report**	REPORT NO: **CSTARH1A**

FINANCIAL ELEMENTS:

Budgetary/Encumbrance/Allocated Encumbrance/Obligation: GLA=6150, 6160 and 6170.
Displays History File record amount for these GLAs. Normal balance is a Debit.

> **NOTE**: The amount for GLAs in the 4th pair of the TC (Encumbrance liquidations) is the amount available to liquidate (per the Document File balance) up to the amount of the History File transaction. If an **F** modifier is used, the amount is the remaining balance per the Document File record.

Expenditures: GLA 9000, 9812, 9822 or 9844. Displays History File record amount for these GLAs. Normal balance is a Debit.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
ENY	None	Yes	No
FFY	None	Yes	No
PCA	None	Yes	Yes
Index	None	No	Yes
Object	None	No	Yes
Post Date	None	No	No
Transaction ID	None	No	No

EXHIBIT III- H1A (Continued)

```
CSTARH1A 9990 (DEST: A1 CTP2) PM, ,0,0,0,0,    ,   ,   ,        ,         ,       ,          ********** RUN:11/02/01 TIME:44.43
FISCAL MONTH:  04  OCTOBER      ***************************************** GL(ALL )
                                            DEPARTMENT OF AIR QUALITY
                                            PCA TRANSACTION ANALYSIS REPORT
                                                 AS OF 10/31/01
*************************************************************************************************************  PAGE    5
ENY:       01
FFY:       01
PCA:       52010        GENERAL FUND
******************************************************************************************************************************
--------TRANS-ID--------
  DATE   T  NUM SEQ   D   --OBJECT---                OBJECT TITLE               PROJECT WP INDEX         INDEX TITLE
------------------------  ----------  ----------------------------------------- ------- -- ----- ------------------------------------
POST              CURR DOC     REF DOC   LOC DEPOSIT/                              BUDGETARY/ENCUM/
DATE   DOC DATE   NUMBER       NUMBER    CHECK/CL-SCH   PCA  TC  M R VENDOR NUM    ALLOC ENCUM/OBLG        EXPENDITURES
----   --------  ----------  ----------  --- ---------  ----- --- - - ---------- --  -----------------  -----------------
01/10/13 02 001 00001 0   3-12-242     PAMPHLT/LEAFLT/BROCH/ETC              290300  00 1540  INTERNAL AUDITS
10/13 01/10/10 C0010000-00            0004842   52010 123     1125010000-00         0.00       1,000,000.00
01/10/13 02 001 00002 0   3-12-242     PAMPHLT/LEAFLT/BROCH/ETC              290300  00 1540  INTERNAL AUDITS
10/13 01/10/10 C0010800-00            0004842   52010 123     1125010000-00         0.00           3,000.00

* TOTAL OBJECT: 3-12                                                                0.00       1,003,000.00

01/10/16 02 202 00073 0   3-13-257     TELEPHONE                            290300  00 1540  INTERNAL AUDITS
10/16 01/06/22 RC001714-00            333 1379001714  52010 104                     0.00            125.00-

* TOTAL OBJECT: 3-13                                                                0.00            125.00-

01/10/16 04 199 00001 0   3-23-346     RECURRING MAINT SVS                  290300  00 1540  INTERNAL AUDITS
10/16 01/06/22                        0004849   52010 231     1189000000-00         0.00           8,050.25
01/16/16 04 199 00002 0   3-23-346     RECURRING MAINT SVS                  290300  00 1540  INTERNAL AUDITS
10/06 01/04/22                        0004849   52010 231     1189000000-00         0.00           5,300.00
01/16/16 04 199 00003 0   3-23-346     RECURRING MAINT SVS                  290300  00 1540  INTERNAL AUDITS
10/06 01/04/22                        0004849   52010 231     1189000000-00         0.00          25,025.50
01/16/16 02 202 00070 0   3-23-346     RECURRING MAINT SVS                  290300  00 1540  INTERNAL AUDITS
10/06 01/06/22 RC008894-00            155 1379001714  52010 104  0001220000-01      0.00             96.00-

* TOTAL OBJECT: 3-23                                                                0.00          38,279.75

01/10/22 02 220 00072 0   3-33-536     OTHER VEHICLE OPERTNS                290300  00 1540  INTERNAL AUDITS
10/22 01/10/22 RC001694-00            ZBA 2379011022  52010 104                     0.00             75.00-

* TOTAL OBJECT: 3-33                                                                0.00             75.00-

01/10/05 06 007 00053 0   4-44-614     TAXES AND ASSESSMENTS                290300  00 1540  INTERNAL AUDITS
10/05 01/05/04 00001694               379-011199  52010 290                         0.00             10.50-

* TOTAL OBJECT: 4-44                                                                0.00             10.50-

* TOTAL INDEX: 1540                                                                 0.00       1,041,069.25

* TOTAL PCA: 52010                                                                  0.00       1,041,069.25
```

EXHIBIT III- H1A (Continued)

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EXHIBIT III- H10

REPORT NAME:	**History File Expenditure Records Supporting the Q16 Report**	REPORT NO: **CSTARH10**

PURPOSE: Lists History records that support the Current Month Expenditure column on the Q16 Report.

DESCRIPTION: Provides a detailed listing of all transactions posting to the Operating File GLAs 9000, 9812, 9822 and 9844. The report is sorted by Program, then Organization. Totals for a Category/Object/Object Detail/Agency Object match the applicable Q16 Report line when requested at the same level of detail.

REPORT REQUEST OPTIONS:

Report Period:

    FM: CM, PM or PY
    P: C=Current Fiscal Year, P=Prior Fiscal Year, Blank=all Fiscal Years

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	0-No Program	0-No Object	0-No Fund
1-Section	1-Program	1-Category	1-Fund
2-Sub-Section	2-Element	2-Object	2-Fund Detail
3-Unit	3-Component	3-Object Detail	3-Not used
4-Sub-Unit	4-Task	4-Agency Object	4-Fund after PCA
5-Sub-Sub-Unit	5-PCA		5-Fund Detail after PCA
6-Index			

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen:

    Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

    PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

    OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

    FFY Selection: Enter the FFY or leave blank for all FFYs.

    PRJ/WP Selection: Not applicable.

Destination Options: All available output media <u>except</u> **L** (Laser printer)

FINANCIAL ELEMENTS:

    Cur Month Exp: GLA=9000, 9812, 9822, and 9844. The transaction amount for the month being reported. Normal balance is a Debit.

EXHIBIT III- H10 (Continued)

REPORT NAME:	**History File Expenditure Records Supporting the Q16 Report**	REPORT NO: **CSTARH10**

SPECIAL NOTES:

Subtotals for the Organization, Program and Category structure occur only at the I, P, and O/S Level of Detail requested. There are no additional hierarchial subtotals.

If Fund Level of Detail **4** or **5** is requested, Fund, Fund Detail and Fund Source appear after PCA in the heading.

Depending on the level requested, this could be a *very large* report.

History records with an alpha Batch Type <u>NOT</u> **AN** (Reverse Year End Adjusting entries) or **WA** (Claim Schedule TC 360/361) are summarized for display on the report. The invoice field describes those batches as:

<u>Batch Type</u>	<u>Invoice Field</u>
LA and LG	Labor Distrib
CB to CK	Cost Alloc
YO to YZ	Year End

The alpha batches are summarized by FFY, Fund, Fund Detail, Fund Source, Program structure, Organization structure, Category structure, Invoice, Document Date, Reference Document/Suffix, Current Document/Suffix, and Batch Header (no sequence number). When the sum of the History records equals zero, the record is not printed. This could result in a printed subtotal of zero for a Category/Object/Object Detail/Agnecy Object with no History records shown.

Subtotals appear at the level requested for Fund, Program, Organization and Category only. There are no hierarchial subtotals.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
FFY	Report Period: P	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Program	Level of Detail: P	Yes	Yes
Organization	Level of Detail: I	No	Yes
Category	Level of Detail: O/S	No	Yes
Invoice	None	No	No
Document Date	None	No	No
Reference Doc	None	No	No
Current Doc	None	No	No
Claim Schedule No	None	No	No
Batch Header	None	No	No
Process Date	None	No	No
TC	None	No	No
Reverse	None	No	No

EXHIBIT III- H10 (Continued)

```
CSTARH10 9990 (DEST: A1 CPT1) PM ,6,5,4,0,    ,    ,    ,        ,        ,        ,        ********** RUN:10/30/00 TIME:19.26
FISCAL MONTH:  03  SEPTEMBER      6(INDEX) 5(PCA ) 4(AGYOBJ) 0(NOFUND) FUND(ALL ) GL(ALL )
                                          DEPARTMENT OF AIR QUALITY
                              HISTORY FILE EXPENDITURE RECORDS SUPPORTING THE Q16 REPORT
                                            AS OF 09/30/00
*************************************************************************************************************************  PAGE    1
FFY:          99
PCA:          10-00-000-000-41110  AIR QUALITY SERVICES
*********************************************************************************************************************************
SEC SS U  SU SSU INDEX DESCRIPTION                     C OB OD  AO DESCRIPTION
--- -- -- -- --- ----- ----------------------------- - -- --- -- -----------------------------
   INVOICE     DOC DATE REF DOC SX CUR DOC SX CLAIM NO  BATCH   HDR PR DATE  TC  R        VENDOR NAME              CUR MONTH EXP
-------------- -------- ---------- ---------- -------- ---------- -------- --- - ------------------------------ --------------
20  20 30 00 00  1223  CUSTOMER & COMMUNITY SERVICES  3 26 418 00 OTHER EXTERNAL SVS
 0055321-IN     05/02/00                       084516K 00092904074 09/29/00 231   IRON OFFICE SOLUTIONS              17,200.97
 0055501-IN     05/31/00                       084516K 00092904074 09/29/00 231   IRON OFFICE SOLUTIONS              12,653.68
 0055706-IN     07/06/00                       084516K 00092904074 09/29/00 231   IRON OFFICE SOLUTIONS              17,945.14
 0056146-IN     04/21/00                       084516K 00092904074 09/29/00 231   IRON OFFICE SOLUTIONS               1,978.80
 0056147-IN     04/25/00                       084516K 00092904074 09/29/00 231   IRON OFFICE SOLUTIONS                 455.95
                                                                                                            ------------------
*TOTAL AGENCY OBJECT 00  OTHER EXTERNAL SVS                                                                        50,234.54

20  20 30 00 00  1223  CUSTOMER & COMMUNITY SERVICES  4 54 632 00 OTHER-SPEC ITEMS EXP
                06/27/00                       084513K 00091204005 09/12/00 231   S SHINPAUFF                           90.00
                                                                                                            ------------------
*TOTAL AGENCY OBJECT 00  OTHER-SPEC ITEMS EXP                                                                          90.00


*TOTAL INDEX 1223 CUSTOMER & COMMUNITY SERVICES                                                                    50,324.54
```

EXHIBIT III- H10 (Continued)

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EXHIBIT III- H11

REPORT NAME:	**Transaction Analysis Report for Equipment and Capital Outlay**	REPORT NO: **CSTARH11**

PURPOSE:	Used to determine which items of equipment and Capital Outlay should be recorded in Fund 0997. This is a worksheet to assist in preparing inventory and property records.

DESCRIPTION:	Displays expenditures related to equipment and Capital Outlay purchases. The report contains information about each transaction with the identifying source document. History File records are selected when the Object Code is: 226 or 451 through 488; or the AS Table Character is 3. Object Codes 226 and 451 through 488 are considered Equipment. History File records that have their AS Table Character set to 3 are considered Capital Outlay.

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-By Index	0-Equipment Only	Not applicable	Not Applicable
1-Not by Index	1-Equipment & Capital Outlay		

Fund Selection: Not applicable

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen:

Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

FFY Selection: Enter the FFY or leave blank for all FFYs.

PRJ/WP Selection: Not applicable.

Destination Options: All available output media

EXHIBIT III- H11 (Continued)

REPORT NAME:	**Transaction Analysis Report for Equipment and Capital Outlay**	REPORT NO: **CSTARH11**

FINANCIAL ELEMENTS:

Encumbrances/Obligations: GL=6150 and 6170. Displays History File transaction amount. Normal balance is a Debit.

> **NOTE**: The amount for GLAs in the 4th pair of the TC (Encumbrance liquidations) is the amount available to liquidate (per the Document File balance) up to the amount of the History File transaction. If an **F** modifier is used, the amount is the remaining balance per the Document File record.

Expenditures: GL=9000. Displays History file transaction amount. Normal balance is a Debit.

Special Notes:

Entries in Fund 0997 must have Fund Detail to identify the Fund that purchased the equipment or incurred the Capital Outlay expenditure.

The report excludes all History File records with an alpha batch type.

Display of History records that liquidate or adjust Encumbrance/Obligation documents is on the same line as Expenditures when the Object, Index and PCA are the same as the Document information. It is shown on a second line when:

> The Encumbrance liquidation GLA is in the 4th pair,

> > AND

> The History record Object, Index or PCA is different from the document Object, Index or PCA.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
FFY	None	Yes	Yes
Index	Level of Detail: I	Yes [1]	Yes [1]
Object	None	No	Yes
Post Date	None	No	No
Transaction ID	None	No	No

[1] Index Level of Detail **1** displays Index Code in the body of the report rather than the header and does not have sub-totals or page-breaks as indicated above.

EXHIBIT III- H11 (Continued)

```
CSTARH11 9990 (DEST: L2 CPT1) PM, ,0,0,0,0,   ,   ,   ,   ,          ,          ,          ,        ********** RUN:10/30/00 TIME:19.26
FISCAL MONTH:  03  SEPTEMBER       0(WITH ) 0(W/O )*************************************
                                       DEPARTMENT OF AIR QUALITY
                            TRANSACTION ANALYSIS REPORT FOR EQUIPMENT (OBJECT CODE 32)
                                     MINOR EQUIPMENT (OBJECT DETAIL CODE 226)
                                              AS OF 09/30/00
************************************************************************************************************************** PAGE    1
FFY:  99
INDEX: 5210 AIR ENFORCEMENT: OPERATIONS
---------------------------------------------------------------------------------------------------------------------------
--------TRANS ID--------
   DATE   T  NUM  SEQ  D  --OBJECT--- ------ OBJ DET/AO TITLE ------              VENDOR NAME              INVOICE NO
------------------------------ ---------------------------- ------------------------------------------ --------------
     POST            CURR DOC    REF DOC    CL SCH/           TRANS                      ENCUMBRANCES/
FM DATE  DOC DATE    NUMBER      NUMBER     CHK NO   INDX  PCA  CODE  M R VENDOR NUMBER     OBLIGATIONS          EXPENDITURES
-- ----  --------  ----------- ----------- -------- ---- ----- ----- - - ------------- -------------------- --------------------
00-09-29 04 451 00004 0  3-11-226    MINOR EQUIPMENT               COPPLER SYSTEMS INC.               6018
03 09/29 00-06-26             CD1013-9-00 CS00451  5210 12210 232   F   0000005083-00        1,565.00-            1,565.00

*TOTAL OBJECT: 3-11                                                                          1,565.00-            1,565.00

*TOTAL INDEX: 5210                                                                           1,565.00-            1,565.00
```

EXHIBIT III- H11 (Continued)

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EXHIBIT III- H12

REPORT NAME: **Socially and Economically Disadvantaged (SED) Transaction Analysis**	REPORT NO: **CSTARH12**

PURPOSE:	Worksheet used to compile statistics and dollar amounts for the quarterly "Monitoring Report Socially and Economically Disadvantaged (SED) Participation in State Government Contracting", Std Form 810.

DESCRIPTION:	Displays History File records with an alpha-numeric character in the Minority or Small Business Indicator positions of the VE Table. Blanks or special characters are not selected. The VE Table indicators reflect the value at the time the transaction posts to the History File. Small Business vendors should have a value of **S** (Small), **Q** (Qualified) or **Y** (Yes) in the VE Table Small Business indicator.

REPORT REQUEST OPTIONS:

Report Period:

    FM: CM, PM or PY
     P: Not applicable

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Resequence of Ethnic Code	Not Applicable	Not Applicable	0-No Fund (default)
1-Resequence Code			1-Federal Funds are separated from other funds

Fund Selection: Not applicable

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen: Not applicable.

Destination Options: All available output media

FINANCIAL ELEMENTS:

    Amount: GLA= 9000. History File record transaction amount for selected records. Normal balance is a Debit.

    Report Recap Including Small Business: Provides totals by Purchased Category: Transaction Count (total of History records summarized in report); Vendor Count (total number of Vendors in report); and Dollars (total of amount field for each purchase category).

    Total Small Business Only: Provides totals by purchased category for Small Business only (Minority Businesses are excluded). The three sub-totals are as described above.

EXHIBIT III- H12 (Continued)

REPORT NAME: **Socially and Economically Disadvantaged (SED) Transaction Analysis**	REPORT NO: **CSTARH12**

Special Notes:

Index Level of Detail **1** should be used by agencies who have adopted the ethnic codes that are on STD Form 810. All others should use **0**.

Fund Level of Detail **1** produces two reports: one for Federal Funds (Fund Source = **F**) and a second for all other funds. If set to **0**, there is one report for all funds.

The following table identifies how the History File records are identified to a specific purchase category on the report:

PURCHASE CATEGORY CONVERSION TABLE

OBJECT OF EXPENDITURE RANGE	DESCRIPTION
000 - 200	Services
201 - 250	Commodities
251 - 450	Services
451 - 505	Commodities
801 - 843	Construction
851 - 855	Commodities
861	Construction
All Others	Unclassified

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
Fund	Level of Detail: F	Yes	No
Ethnic Code	Level of Detail: I	Yes	No
Purchase Category	None	No	Yes
Small Business	None	No	No
Vendor Number	None	No	No

EXHIBIT III- H12 (Continued)

```
CSTARH12 9990 (DEST: A1 CPT1) CM, ,0,0,0,0,    ,    ,    ,        ,        ,    ,        ,        ********* RUN:10/31/00 TIME:16.28
FISCAL MONTH:  04  OCTOBER         0(N/A  )***************** 0(NOFUND)*****************
                                          DEPARTMENT OF AIR QUALITY
                           SOCIALLY AND ECONOMICALLY DISADVANTAGED (SED) TRANSACTION ANALYSIS
                                              AS OF 10/31/00
**************************************************************************************************************** PAGE    1
FUND: ALL FUNDS
****************************************************************************************************************
E S                                                                  CURR DOC     REF DOC    CL SCH/
C B VENDOR NO                 VENDOR NAME               INVOICE NO  DOC DATE  NUMBER       NUMBER     CHK NO
- - ---------- ------------------------------------------ -------------- -------- ---------- ---------- ---------
               OBJ                                                   --------TRANS-ID-------- TRANS    POST
               DET               OBJECT TITLE                        DATE    T  NUM SEQ   D  CODE  M R DATE     AMOUNT
               --- ------------------------------------------------- ----------------------- ----- - - ----- ------------------

E S 1NE0000688 SOUTHWEST SCHOOL & OFFICE SUPP          58942        00/09/26        -  000SP294-00 2000135
               206 MISC OFFICE SUPPLIES                              00/10/04 04 090 00005 0   232     10/04        161.63

                                                                    VENDOR COUNT                                     1
                                                                    TRANSACTION COUNT                                1
                                                                    *TOTAL CATEGORY COMMODITIES                    161.63


E S 1NE0000573 DAISY WHEEL RIBBON CO., INC.            018259/300   00/10/20        -  000SP515-00 2000152
               424 EDP SERVICES                                     00/10/26 04 112 00004 0   232     10/26        204.75

                                                                    VENDOR COUNT                                     1
                                                                    TRANSACTION COUNT                                1
                                                                    *TOTAL CATEGORY SERVICES                       204.75


E S 1NE0000149 BEN'S TOOL & SUPPLY                     1285940-02-3 00/10/10        -  000SP268-00 2000151
               517 EDUCATIONAL SUPPLIES                             00/10/26 04 111 00009 0   232     10/26        188.39

E S 1NE0000149 BEN'S TOOL & SUPPLY                     1285940-01   00/09/29        -  000SP268-00 2000140
               517 EDUCATIONAL SUPPLIES                             00/10/11 04 095 00005 0   232     10/11         14.61

E S 1NE0000149 BEN'S TOOL & SUPPLY                     1285182-01   00/09/29        -  000SP266-00 2000140
               517 EDUCATIONAL SUPPLIES                             00/10/11 04 095 00004 0   232     10/11         29.59

E S 1NE0000529 SEACAT ENTERPRISES                      20001901     00/10/16        -  000SP418-00 2000149
               506 FOODSTUFFS                                       00/10/26 04 109 00003 0   232     10/26        604.38

                                                                    VENDOR COUNT                                     2
                                                                    TRANSACTION COUNT                                4
                                                                    *TOTAL CATEGORY UNCLASSIFIED                   836.97
```

EXHIBIT III- H12 (Continued)

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EXHIBIT III- H13

REPORT NAME: **History File Expenditure Records Including Project Supporting the Q16 Report**	REPORT NO: **CSTARH13**

PURPOSE:	Lists History File records including Project that support the Current Month Expenditure column on the Q16 Report. (For a similar report without project, see the H10 Report.)

DESCRIPTION:	Provides a detailed listing of all transactions posting to the Operating File GLAs 9000, 9812, 9822 and 9844. The report is sorted by Program, Organization, Object, then Project. Totals for a Category/Object/Object Detail/Agency Object match the applicable Q16 Report line when requested at the same level of detail.

REPORT REQUEST OPTIONS:

Report Period:

FM: CM, PM or PY
P: C=Current Fiscal Year, P=Prior Fiscal Year, Blank=all Fiscal Years

Level of Detail:

Index (I)	Program (P)	Object/Source(O/S)	Fund (F)
0-No Organization	0-No Program	0-No Object	0-No Fund
1-Section	1-Program	1-Category	1-Fund
2-Sub-Section	2-Element	2-Object	2-Fund Detail
3-Unit	3-Component	3-Object Detail	3-Not used
4-Sub-Unit	4-Task	4-Agency Object	4-Fund after PCA
5-Sub-Sub-Unit	5-PCA		5-Fund Detail after
6-Index			PCA

Fund Selection: Blank (all Funds) or any valid Fund

GLA Selection: Not applicable

Additional Report Selection Options Popup Screen:

Index Range: Enter the Index or range of Indexes. Leave blank for all Indexes.

PCA Range: Enter the PCA or range of PCAs. Leave blank for all PCAs.

OBJ Range: Enter the Object Detail or range of Object Details. Leave blank for all Object Details.

FFY Selection: Enter the FFY or leave blank for all FFYs.

PRJ/WP Selection: Not applicable.

Destination Options: All available output media <u>except</u> **L** (Laser printer)

FINANCIAL ELEMENTS:

Cur Month Exp: GLA=9000, 9812, 9822, and 9844. The transaction amount for the month being reported. Normal balance is a Debit.

EXHIBIT III- H13 (Continued)

REPORT NAME:	**History File Expenditure Records Including Project Supporting the Q16 Report**	REPORT NO: **CSTARH13**

SPECIAL NOTES:

Subtotals for the Organization, Program and Category structure occur only at the I, P, and O/S Level of Detail requested. There are summary totals by Fund Source, Fund and Funding Fiscal Year.

If Fund Level of Detail **4** or **5** is requested, Fund, Fund Detail and Fund Source appear after PCA in the heading.

Depending on the level requested, this could be a *very large* report.

History records with an alpha Batch Type <u>NOT</u> **AN** (Reverse Year End Adjusting entries) or **WA** (Claim Schedule TC 360/361) are summarized for display on the report. The invoice field describes those batches as:

<u>Batch Type</u>	<u>Invoice Field</u>
LA and LG	Labor Distrib
CB to CK	Cost Alloc
YO to YZ	Year End

The alpha batches are summarized by FFY, Fund, Fund Detail, Fund Source, Program structure, Organization structure, Category structure, Invoice, Document Date, Reference Document/Suffix, Current Document/Suffix, and Batch Header (no sequence number). When the sum of the History records equals zero, the record is not printed. This could result in a printed subtotal of zero for a category/object/object detail with no History records shown.

Subtotals appear at the level requested for Fund, Fund Source, Program, Organization and Category only. There are no hierarchial subtotals.

REPORT SORT:

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
FFY	Report Period: P	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Fund Source	None	Yes	Yes
Program	Level of Detail: P	Yes	Yes
Organization	Level of Detail: I	No	Yes
Category	Level of Detail: O/S	No	Yes
Project Work-Phase	None	No	No
Invoice	None	No	No
Document Date	None	No	No
Reference Doc	None	No	No
Current Doc	None	No	No
Claim Schedule Nbr	None	No	No
Batch Date & Type	None	No	No

EXHIBIT III- H13 (Continued)

```
CSTARH13 9990 (DEST: AA SPEC) PM,C,0,5,2,1,0890,   ,   ,      ,       ,      ,        ********** RUN:03/13/03 TIME:06.11
FISCAL MONTH:  08  FEBRUARY      0(ORG ) 5(PCA ) 2(OBJECT) 1(FUND ) FUND(0890) GL(ALL )
                                       DEPARTMENT OF AIR QUALITY
                     HISTORY FILE EXPENDITURE RECORDS INCLUDING PROJECT SUPPORTING THE Q16 REPORT
                                         AS OF 02/28/03
************************************************************************************************************************** PAGE    1
FFY:          02
FUND:         0890              FEDERAL TRUST FUND
FUND SOURCE:  F                 TRUST AND AGENCY FUNDS--FEDERAL
PCA:          10-10-100-101-10101  NPDES PERMITTING (UNSCHEDULED)
**************************************************************************************************************************
SEC SS U  SU SSU INDEX DESCRIPTION                    C OB OD  AO DESCRIPTION
--- -- -- -- --- ----- -------------------------- - -- --- -- ----------------------------
    INVOICE      DOC DATE REF DOC SX CUR DOC SX CLAIM NO  BATCH  HDR PR DATE  TC  R       VENDOR NAME          CUR MONTH EXP
 -------------- -------- ---------- ---------- -------- ---------- ------- --- - ------------------------------ --------------
                                                     1 01       SALARIES AND WAGES
   COST ALLOC                                         030312CJ                                                    145,454.88
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 01 SALARIES AND WAGES                                                                              145,454.88

                                                     1 03       STAFF BENEFITS
   COST ALLOC                                         030312CJ                                                     33,069.97
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 03 STAFF BENEFITS                                                                                   33,069.97

                                                     3 11       GENERAL EXPENSE
   COST ALLOC                                         030312CJ                                                      1,446.00
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 11 GENERAL EXPENSE                                                                                   1,446.00

                                                     3 13       COMMUNICATIONS
   COST ALLOC                                         030312CJ                                                          0.50
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 13 COMMUNICATIONS                                                                                        0.50

                                                     3 17       TRAVEL: IN-STATE
   COST ALLOC                                         030312CJ                                                        411.46
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 17 TRAVEL: IN-STATE                                                                                    411.46

                                                     3 21       TRAINING
   COST ALLOC                                         030312CJ                                                         99.00
                  PROJECT-WP: 989100-03
                                                                                                              -----------------
*TOTAL OBJECT 21 TRAINING                                                                                             99.00
```

EXHIBIT III- H13 (Continued)

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